

R-16-105
Meeting 16-21
November 10, 2016

AGENDA ITEM #1

To: Board of Directors
Open Space Authority

From: Andrea Mackenzie
General Manager

SUBJECT

Consider Approval of Measure Q Urban Open Space Grant Program Applications for Funding

BACKGROUND

The Urban Open Space (UOS) Grant Program is funded by Measure Q, a \$24 annual parcel tax which provides the Authority with approximately \$7.8 million annually to invest in open space land, public access, recreation, and protection of water, wildlife and working lands. Up to 25% of Measure Q funds can be used for the grant program and the Authority's Board of Directors has allocated \$1,000,000 for the competitive grant program this fiscal year.

The Grant Program Guidelines (*Exhibit K*) were developed based on the requirements of Measure Q and the Authority under the direction of the UOS Ad Hoc Committee as well as feedback from seven community meetings, UOS Technical Advisors, the Citizens' Advisory Committee, and public comment. The Board of Directors approved the FY 16-17 Grant Program Guidelines on June 23, 2016. This is the first year of the grant program and it is anticipated that the Board may amend the Guidelines periodically, for example in response to community feedback or to promote certain kinds of projects that achieve Measure Q and Authority objectives.

The Grant Program will be evaluated annually by the Board of Directors to establish funding amounts and revise as needed, in future funding cycles. Detailed information about the UOS Grant Program is included in the attached Grant Program Guidelines, and is summarized below.

Overview of the FY 16/17 UOS Grant Program:

Proposals must fall within one or more categories:

- Environmental Stewardship and Restoration
- Parks, Trails, and Public Access
- Environmental Education
- Urban Agriculture / Food Systems

Award Information

- Total grant funding for FY 16/17: \$1,000,000.
- Anticipated grants to be awarded: 10-15 grants, aiming for a diversity of project types, project location, and project size.

- Grant awards: Small Grants (\$2,500 - \$25,000) and Large Grants (\$25,001 - \$250,000)
- Length of funding: 1-4 years, depending on size of grant.

Eligible applicants for Measure Q grant funding are:

- Public agencies and special districts located within the Authority's jurisdiction.
- Schools and school districts located within the Authority's jurisdiction.
- Non-profit organizations whose project is located within the Authority's jurisdiction.

Application Period

The grant application period was July 8 – August 31, 2016. Staff advertised the grant program through seven community meetings, a large email list, the Open Space Bulletin, press releases, flyers, notices on other groups' web pages, and the Authority's UOS webpage (<http://www.openspaceauthority.org/urban>). There were two public workshops to provide an overview of the grant and the application process. One workshop was at the Tully Branch Library and other was online in a webinar format. The online workshop was recorded and provided on the UOS web page for those unable to attend.

Application Review

The Authority received 30 applications totaling just over \$3.8 million. The applications were first screened for eligibility, such eligibility factors include:

- Review of application package to confirm all required material submitted
- Confirmation that the organization is eligible, including checking the IRS 501(c)3 status for nonprofits
- Review of financial statements
- Confirmation that the project location is within Authority boundaries
- Review of the project budget to confirm match of 25% of project cost is included

Based on this screening, three were determined to be ineligible, which brought the total to 27 eligible applications requesting almost \$3.3 million. The attached *Applications Distribution* document (*Exhibit G*) describes the distribution of these 27 eligible applications by Authority Board District, grant size, and grant categories.

Four Authority staff with diverse experience and expertise reviewed and scored the applications independently using the evaluation criteria set forth in the Grant Program Guidelines. The reviewing group began by meeting to discuss the evaluation criteria in detail to ensure that the criteria were applied uniformly. Each of the four reviewers then scored the applications independently. The group met multiple times to discuss the projects and found that there was strong consensus in the scores. The group then used the average of the individual scores to rank the projects and determine the preliminary staff recommendations.

The attached *Staff Recommendations* summary table (*Exhibit H*) shows the projects listed in ranked order by total score. The table includes a category of "Recommended," which are the ten (10) top scoring applications from the staff reviewers totaling \$1,036,510. There is also a second tier of "Recommended if Funding Available," which scored well but exceeded the \$1,000,000 allocation. Staff approached this "Recommended if Funding Available" category as a ranked list to use for additional recommendations should one or more of the top scoring applications be eliminated or if the applicant is unable to execute the grant agreement. Finally, there is a "Not Recommended" group of projects that received lower scores based on the evaluation criteria because the application was unclear or missing important information. Staff will aim to provide constructive feedback to all unsuccessful applicants to help them improve their applications in the future.

The preliminary staff recommendations were presented to the Citizens' Advisory Committee (CAC) on October 24, 2016. The CAC voted on each recommended project and their feedback and votes are included in the *Measure Q UOS Grants - Staff Recommendations* document (*Exhibit H*) and summarized in the *CAC Special Meeting Summary* document (*Exhibit I*). The CAC voted to concur with staff's preliminary recommendations on 15 of the 18 recommended applications and voted not to concur with staff's preliminary recommendations on three recommended applications. The staff reviewers discussed the CAC feedback and determined that while the CAC raised very good questions, they did not offer new information to consider within the framework of the evaluation criteria and did not warrant revised scoring from the review team. The CAC also offered feedback for the next revision of the Grant Program Guidelines; for example, whether to limit grant funding for public agency staff salaries or whether to consider partial funding for applications.

Included in your packet is the *Staff Recommendations* document (*Exhibit H*) with a summary table of all 27 grants including an abstract, the total score for each, and CAC comments. The table is also color coded based on staff recommendations and these are described in further detail below. All application materials, including letters of support, are available at <https://www.zoomgrants.com/customreport-public.asp?k=9232F8861B974528BAE78D4DA48123A72860> (*Exhibit F*).

The attached sample resolution (*Exhibit E*) will be used as a template for all approved projects.

COSTS/REVENUE

The FY 16-17 budget allocates \$1,808,765 to Measure Q Urban Open Space projects. This includes \$1,000,000 for the competitive grants program and \$808,765 for two initial "discretionary" urban projects. The first is the Children's Discovery Museum's Bill's Backyard project (\$308,765), which is underway. The second project is with the City of San Jose for the Five Wounds trail (\$500,000). This is a joint project between the City of San Jose, Santa Clara County, and the Authority to purchase the Five Wounds Trail Right of Way from VTA to expedite development of that critical trail alignment. At the time of the staff report, the Authority's \$500,000 contribution may not be required until FY 17-18 and could potentially be reallocated to support the Measure Q competitive grant program. The City of San Jose's Real Estate Services Department is evaluating this and will provide information to Authority staff.

Within the \$1,000,000 allocation, the Grant Program Guidelines allow non-profit organizations to include up to 5% in administrative overhead expenses. This would be billed against the Authority's 5% cap (approximately \$395,000) on administrative expenses allowed by Measure Q. The total amount awarded to grantees will depend on which projects are funded, but will not exceed the limit.

RECOMMENDATIONS

It is recommended that the Board approve the top ten (10) applications based on the total score for each (projects a.-j. in the table) for a total of \$1,036,510, just over the \$1,000,000 allocation. The attached *Measure Q UOS Grant Program FY 16-17 Top 10 Recommended Awards Distribution* document (*Exhibit J*) describes the distribution of these top ten (10) recommended applications by Authority Board District, grant size, and grant categories. Should the Board elect not to approve one or more of the top ranked projects for funding, then the ranked list may be used as reference to prioritize the selection of other projects until the \$1,000,000 budgeted amount is reached or to otherwise provide direction to staff.

Post-award Next Steps

Following the Board's action, staff will work closely with approved grantees to execute grant agreements and establish procedures for reporting. In addition to getting projects started for the FY 16-

17 grant cycle, staff will also begin reviewing the grant program in order to revise the grant guidelines for FY 17-18. The review process will include meeting(s) with the Urban Open Space Ad Hoc committee and discussions with applicants. Potential issues to consider in future grant program cycles are:

- Emphasis on capacity building
- Changing the timing of the application deadline to avoid the summer months
- Increasing the application period to allow additional time for applicants to submit
- Getting the word out to a broader pool of applicants and community groups

Staff will return to the Board with any proposed changes and recommendations from the Ad Hoc Committee to the program for their review prior to implementation of the next grant cycle.

The Authority received outstanding applications for projects that will make a huge impact in communities throughout the agency's jurisdiction. This creates an excellent early opportunity for media and outreach to celebrate this Measure Q milestone and for future media stories as funded projects reach key milestones. Staff may invite applicants to attend future CAC or Board meetings to share the results and successes of their projects.

Prepared by:

Megan Dreger, Grants Administrator

Attachments: *Exhibit E: Measure Q UOS Grant Program Sample Resolution*
Exhibit F: Link to all Eligible MQ UOS Grant Application Materials:
<https://www.zoomgrants.com/customreport-public.asp?k=9232F8861B974528BAE78D4DA48123A72860>
Exhibit G: Measure Q UOS Grant Program FY 16-17 Distribution of Eligible Applications Exhibit
H: Measure Q UOS Grants - Staff Recommendations
Exhibit I: CAC Special Meeting Summary
Exhibit J: Measure Q UOS Grant Program FY 16-17 Top 10 Recommended Awards Distribution
Exhibit K: Measure Q Urban Open Space Grant Guidelines

Santa Clara Valley Open Space Authority

RESOLUTION 16-XX

**A RESOLUTION OF THE GOVERNING BOARD OF THE
SANTA CLARA VALLEY OPEN SPACE AUTHORITY
AUTHORIZING THE GENERAL MANAGER
TO ENTER INTO A MEASURE Q URBAN OPEN SPACE FUNDING AGREEMENT WITH
[Applicant] FOR [Project]**

WHEREAS, the Authority's Measure Q passed in November 2014; and

WHEREAS, the Authority's Measure Q Expenditure Plan allows for up to twenty-five percent (25%) of Measure Q funds to be allocated to a grant program; and,

WHEREAS, the Authority developed the FY 2016-17 Measure Q Urban Open Space Grant Program Guidelines to establish eligibility and grant criteria; and,

WHEREAS, the Authority received an application for the FY 2016-17 Measure Q Urban Open Space Grant Program from [Applicant] for [Project]; and,

WHEREAS, the application is consistent with the Authority's Measure Q Expenditure Plan and the FY 2016-17 Measure Q Urban Open Space Grant Program Guidelines; and,

NOW, THEREFORE, BE IT RESOLVED, the Board of Directors of the Santa Clara Valley Open Space Authority, hereby approves grant funding in the amount of [Amount] for the [Project], and authorizes the General Manager to enter into a funding agreement with [Applicant] for the project.

BE IT FURTHER RESOLVED, that the Board further directs that the General Manager is hereby authorized and directed, on behalf of the Authority and in its name, to execute and deliver such documents and to do such acts as may be deemed necessary or appropriate to accomplish the intentions of this resolution.

PASSED, APPROVED AND ADOPTED this 10th day of November, by the following vote:

AYES:

NOES:

ABSENT:

ABSTENTION:

Dorsey Moore, Chairperson
San Jose Valley Open Space Authority

APPROVED AS TO FORM:

ATTEST:

William P. Parkin, Legal Counsel

Kellie Guerra, Clerk of the Board

SANTA CLARA VALLEY OPEN SPACE AUTHORITY

Due to the volume of the complete applications including attachments (over 2,000 pages), the link below is provided in lieu of hard or electronic copies:

<https://www.zoomgrants.com/customreport-public.asp?k=9232F8861B974528BAE78D4DA48123A72860>

Measure Q Urban Open Space Grant 2016-17

Distribution of Eligible Applications

This was the first grant cycle of the Measure Q Urban Open Space Grant Program. It was a very successful solicitation, with 30 applications requesting just over \$3.8 million. After initial review, 3 applications were determined to be ineligible, which brings the total to 27 eligible applications requesting almost \$3.3 million. The applications were fairly well distributed among the four grant categories and across the Authority's seven Board Districts.

The total funding available for the 2016-17 awards is \$1,000,000. Per the Grant Guidelines, the Authority expects to award between 10 and 15 grants, aiming for a diversity of project types, project locations, and project sizes.

Overall:

	Requested	# Apps
Eligible Applications	\$3,298,411	27

Distribution: Size of grants

	Requested	# Apps
Small Grants \$2,500 - \$25,000	\$137,056	6
Large Grants \$25,001 - \$250,000	\$3,161,355	21

Distribution: Grant Categories

Note: applications can be in multiple categories

	TOTAL		Grant Category by Grant Size			
			Small Grants		Large Grants	
	Requested	# Apps	Requested	# Apps	Requested	# Apps
Environmental Stewardship & Restoration	\$1,862,233	11	\$12,142	1	\$1,850,091	10
Parks, Trails & Public Access	\$1,419,060	9	\$0	0	\$1,419,060	9
Environmental Education	\$2,213,587	17	\$99,915	4	\$2,113,672	13
Urban Agriculture / Food Systems	\$1,392,938	11	\$74,960	3	\$1,317,978	8

EXHIBIT G

Distribution: OSA Board District

Note: applications can fall in multiple Board Districts

	TOTAL		OSA District by Grant Size			
			Small Grants		Large Grants	
	Requested	# Apps	Requested	# Apps	Requested	# Apps
OSA District #1	\$1,310,761	8	\$24,999	1	\$1,285,762	7
OSA District #2	\$1,103,042	9	\$37,141	2	\$1,065,901	7
OSA District #3	\$721,687	7	\$74,947	3	\$646,740	4
OSA District #4	\$616,290	7	\$24,999	1	\$591,291	6
OSA District #5	\$1,059,957	7	\$24,999	1	\$1,034,958	6
OSA District #6	\$1,296,848	11	\$87,108	4	\$1,209,740	7
OSA District #7	\$843,844	7	\$37,141	2	\$806,703	5

	OSA District by Grant Category							
	Env Stewardship		Parks Trails & Access		Environmental Ed		Urban Agriculture	
	Requested	# Apps	Requested	# Apps	Requested	# Apps	Requested	# Apps
OSA District #1	\$748,012	3	\$345,762	3	\$728,012	4	\$273,011	2
OSA District #2	\$262,142	2	\$341,401	3	\$764,500	5	\$24,999	1
OSA District #3	\$250,000	1	\$290,000	2	\$696,688	6	\$466,700	4
OSA District #4	\$447,829	3	\$290,000	2	\$479,462	4	\$378,461	4
OSA District #5	\$577,547	3	\$735,194	4	\$1,034,958	6	\$574,763	4
OSA District #6	\$262,142	2	\$540,000	3	\$946,707	7	\$529,739	5
OSA District #7	\$88,845	2	\$366,703	3	\$730,000	4	\$24,999	1

Measure Q Urban Open Space Grant Program FY 16-17 Staff Recommendations for Funding					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Running Total if all funded
Complete grant applications available here: https://www.zoomgrants.com/customreport-public.asp?k=9232F8861B974528BAE78D4DA48123A72860									
a.1.	APPLICANT: Guadalupe River Park Conservancy PROJECT: Environmental education for Title One students and Boys & Girls Club members	GRANT CATEGORY: * Environmental Education	OSA DISTRICT(S): OSA District 3	REQUEST: \$24,987	105.00	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Good to have Title 1 schools – organization will be able to advance their programo Support school budgets – building capacity for environmental education and stewardshipo Concern about future funding since this is a one year grant.o They get support from San Joseo Can they apply in future years for additional funding?o Children are the future• No public comments	\$ 24,987
ABSTRACT: Guadalupe River Park Conservancy (GRPC) operates in Guadalupe River Park & Gardens, one of San Jose's largest urban open spaces. This urban oasis is home to Chinook Salmon, Steelhead Trout, Golden Beaver, Gray Fox, and amazing birdlife, including several types of herons, egrets, and birds of prey. GRPC uses the park - river, orchard, rose garden, etc - as an outdoor lab to help familiarize children with the outdoors, instill a sense of environmental stewardship, and to teach hands-on, standards-based science, technology, engineering, arts and math (STEAM) lessons, with a special emphasis on serving students from Title One schools. Through grants, GRPC is able to offer scholarships (fee waiver plus transportation) to Title One schools and Boys & Girls Clubs of Silicon Valley.									
a.2.	APPLICANT: Latinos United for a New America (LUNA) PROJECT: Nuestro Lugar	GRANT CATEGORY: * Env Stewardship & Restoration * Parks, Trails & Public Access * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 6	REQUEST: \$250,000	105.00	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Generally supportive – this is a new organization and may need a lot of help to implement; urge the Authority to invest staff resources to assist themo Community-driveno Different than other projectso Exactly what OSA should be funding, strongly supportive; project includes developing a community organizationo OSA commitment to sustained success• Public Comments:<ul style="list-style-type: none">o Funding - Marybeth Harasz (City of San Jose, Office of Parks and Rec) made a commitment to LUNA that she will assist them in requesting money from the City of San Jose for this projecto Design, costs, have been planned over two years, City in agreemento Community committed, everyone working together to build and maintain projecto Change culture to create and protect something beautiful	\$ 274,987
ABSTRACT: The goal of this project is to build an open area along Midfield Avenue in East San Jose that would allow Midfield neighborhood residents, who reside where there is currently no open space, to walk, garden, engage in sports activities, play, climb, and engage in artistic activity with other neighbors. A central feature of the project is that the residents would help to build the open space and would create a neighborhood association to maintain the space and engage in other activities that better the lives of the impoverished and low-income families that inhabit the Midfield neighborhood. The neighborhood is in an area where gangs are active, and crime is a concern. Residents protect themselves by isolating themselves. The project would provide an alternative means to enhance safety. If neighborhood residents get to know each other, interact positively with each other, and better their neighborhood together, they will reduce the likelihood that they will be victims of crime.									
a.3.	APPLICANT: Bay Area Wilderness Training PROJECT: South Bay Capacity Building Project (Getting Underserved Youth Outside)	GRANT CATEGORY: * Parks, Trails & Public Access * Environmental Education	OSA DISTRICT(S): OSA District 1 OSA District 2 OSA District 3 OSA District 4 OSA District 5 OSA District 6 OSA District 7	REQUEST: \$40,000	104.25	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Important to have capacity building projects in our grant program. This project is providing a service and building capacity to provide their service to communityo Question listing all OSA Board districts when unclear whether this supports any one OSA Board District specificallyo Support – great project• Public Comments:<ul style="list-style-type: none">o Overview of program: sustainable program, minimum financial barrier for training, appreciate opportunity for capacity building. For every new leader trained, gets 25 youth outside	\$ 314,987
ABSTRACT: Bay Area Wilderness Training seeks to expand services in Santa Clara County through hiring a new South Bay Community Outreach Coordinator, partnering with Santa Clara Open Space Authority to conduct a 2nd year of Hiking Leadership Training (HLT), conduct two Front Country Leadership Training (FLT) courses for south bay leaders, and expand outdoor equipment lending and trip support services through the Milpitas Gear Library. This project will increase the number of program partners and environmental educators in Santa Clara County and boost the number of outdoor trips and diverse youth visiting OSA land and other area parks.									

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
a.4.	APPLICANT: Joseph George Middle School / Citizen Schools PROJECT: Joseph George Learning Lab / Community Garden	GRANT CATEGORY: * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 6	REQUEST: \$25,000	99.25	Recommended	CAC: Concur to recommend	• CAC Comments: o Urge CAC to concur; it is in heart of OSA's Board District 6; provides a variety of educational opportunities in an underserved community • No public comments	\$ 339,987
ABSTRACT: The primary goal of the Joseph George Community Garden and Learning Lab is to promote environmental education by expanding on an existing 60 by 60 ft enclosed garden on the Joseph George Middle School Campus. Through apprenticeships, outside classroom lessons and community meetings students, teachers, and parents in the Joseph George Middle School community will become more aware of environmental and land use issues. End results of the project will include: improved student academic outcomes, increased knowledge and awareness of gardening and the environment for all involved constituencies, the beautification of the school campus and the neighborhood and the introduction of freshly-grown produce into the diets of several families who currently live in a park-poor neighborhood with a food desert section of San Jose.									
a.5.	APPLICANT: San Jose State University Research Foundation PROJECT: CommUniverCity: Growing Sustainably	GRANT CATEGORY: * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 3 OSA District 6	REQUEST: \$166,740	96.50	Recommended	CAC: Concur to recommend	• CAC Comments: o Kids who grow their own fruits and vegetables, eat the fruits and vegetables o Will land be provided by the schools? Yes. o Will funds be for salaries? Yes, for student interns and AmeriCorps members. • Public Comments: o For schools o Target audience – underserved o Kid engagement in food, greening practice behaviors o Teachers learn about healthy programs o Learning/new topics: sharing, teamwork o Students able to learn o Hope to expand the program o Daughter participated and went on to become a naturalist at Vida Verde; programs like this very impactful for kids	\$ 506,727
ABSTRACT: Growing Sustainably, a signature CommUniverCity program, aims to create a self-sustaining food system for low-income communities in Central San Jose where each child has opportunities to garden at a school garden or urban farm in their neighborhood. The program increases awareness of the importance of eating healthy, fresh foods, and promotes environmental stewardship among low-income children and their families through the following activities: 1. A comprehensive garden education program for 3rd to 6th grade students offered at 4 schools and Garden to Table's Taylor Street urban farm. 2. Garden clubs for 3rd to 6th grade students offered during the after school hours at the school sites. 3. Nutrition and cooking workshops for families and elementary school children at the school sites and the urban farm. 4. An annual environmental education neighborhood festival designed to help families incorporate environmentally sustainable practices into their daily lives.									
a.6.	APPLICANT: City of Campbell PROJECT: Nido Neighborhood Reforestation	GRANT CATEGORY: * Env Stewardship & Restoration	OSA DISTRICT(S): OSA District 4	REQUEST: \$48,829	96.25	Recommended	CAC: Concur to recommend	• CAC Comments: o Has some community building in that they will recruit local residents to plant trees. There is an opportunity to expand this to a sustained relationship for long term care of trees. o Our City Forest already does this work – contact Our City Forest to discuss implementation o Developer may only do bare minimum, this project fills that gap • Public Comments: o Our City Forest does work in Campbell, but this is a special project. One option for collaboration might be for Our City Forest to provide the trees	\$ 555,556
ABSTRACT: The Nido Neighborhood Reforestation Project will plant 116 new trees in a disadvantaged neighborhood in Central Campbell. The neighborhood currently only contains 121 healthy trees and many stretches of roadway contain no vegetation. The project will plant new, drought tolerant trees that will increase the quality of life for neighborhood residents, decrease crime, and reduce traffic speeds. These trees will also help decrease stormwater runoff, remove pollution from the air, and reduce urban heat.									
a.7.	APPLICANT: Santa Clara County Public Health Department PROJECT: Park and Play Initiative	GRANT CATEGORY: * Parks, Trails & Public Access * Environmental Education	OSA DISTRICT(S): OSA District 2 OSA District 5 OSA District 6 OSA District 7	REQUEST: \$250,000	96.00	Recommended	CAC: Not recommended	• CAC Comments: o County Parks Charter fund was increased. Why didn't the Public Health Dept go directly to County Parks? o County Parks has a similar program - duplicative o It's a lot of money to give an agency that already has a lot of money o Is this funding salaries? We already do this work for free for them o Amount of funding is too much o Prefer this project to be dropped to fund some other projects • No public comments	\$ 805,556
ABSTRACT: The Park and Play Initiative aims to address health equity related to park utilization by underserved communities. The goal of this Initiative is for families to visit Santa Clara County Parks, participate in park programming and become comfortable utilizing parks on an ongoing basis to increase their physical activity and overall understanding of environmental stewardship. Park utilization has many known benefits including diabetes management and prevention, depression and anxiety reduction, and increasing community cohesion. Many of the families in the geographic focus area for this initiative; Milpitas and East San Jose have had limited opportunities to visit parks and limited exposure to environmental education.									

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
a.8.	APPLICANT: Santa Clara County Creeks Coalition PROJECT: Coyote Creek Homeless Stream Stewards Trash Free Coyote Creek ABSTRACT: The Santa Clara County Creeks Coalition seeks funding for the Coyote Creek Homeless Stream Stewards (Stream Stewards) Trash Free Coyote Creek Project. The Stream Stewards will work in collaboration with the Friends of Coyote Creek Watershed and other volunteer groups and public agencies (City of San Jose and Santa Clara Valley Water District) to achieve a trash free creek in the 10 mile stretch of Coyote Creek between Yerba Buena Road in south San Jose and Tasman Drive in north San Jose The project will accomplish this goal by having a Program Coordinator and an Assistant Coordinator manage the effort, and work with the homeless who reside along the ten mile stretch of the creek, by having them participate in the program so that all trash (including that generated by the homeless) is gathered in bags and removed from the creek. Additonally the Coordinators will work with volunteer groups and public agencies to assure that the bagged trash is hauled to a suitable sanitary landfill.	GRANT CATEGORY: * Env Stewardship & Restoration	OSA DISTRICT(S): OSA District 2 OSA District 6 OSA District 7	REQUEST: \$12,142	95.75	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Similar to LUNA project – group taking the initiativeo Support this organization– they do a great jobo Important step in making safer & cleaner – support• Public Comments:<ul style="list-style-type: none">o Coyote Creek Homeless Stream Stewards have picked up 80,000 lbs of trasho Get & keep creeks clean and allow community to enjoyo Other organization (Downtown Streets Team) does similar work and offers additional services to the homeless populationo SCCCC Vision: Restoration of Steelhead trout, native plants. Already have funding from the SCVWD for planting.o SCCCC working on other ideas as well: shared community garden/25 tiny houses/homeless as capable contributors. Link to environmental goalso CAC is thinking ahead	\$ 817,698
a.9.	APPLICANT: Bay Area Ridge Trail Council PROJECT: Gateway to Trails and Parks: Ridge Trail Interpretive, Wayfinding and Outings Project at Berryessa BART ABSTRACT: The purpose of the proposed project is to leverage the opening of the new Berryessa BART station (fall 2017) to introduce and connect the public - especially new audiences - to the outstanding network of trails, parks, and open space in Santa Clara County. The Berryessa station will include a new section of Ridge Trail and connect directly to the Penitencia Creek Trail and other trails offering access to many nearby parks and open spaces. This project will promote exciting new opportunities to combine transit and trails for recreation and transportation. The project includes the following elements, which will be developed in coordination with the VTA, the City of San Jose and other stakeholders: (1) 4-6 directional/wayfinding signs in/near the Berryessa BART station; (2) 1-2 Interpretive panels that would include an overview map and highlight multifaceted benefits of trails and active transportation; (3) brochures/maps-including web-based; and (4) hosted trail outings.	GRANT CATEGORY: * Parks, Trails & Public Access	OSA DISTRICT(S): OSA District 2	REQUEST: \$51,401	92.75	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o VTA has “deep pockets”o This was a good project, but the other BARTC project seemed bettero Support wholeheartedlyo Would delays in BART station construction delay project.• Public Comments:<ul style="list-style-type: none">o Transit hub connection to “last mile” importanto Signage/language	\$ 869,099
a.10.	APPLICANT: The Regents of the University of California / UCCE Santa Clara County PROJECT: Development of a Small and Urban Beginning Farmer Learning Hub at Martial Cottle Park in Santa Clara County ABSTRACT: Hands-on training opportunities that help beginning farmers and ranchers (BFRs) achieve and sustain their economic goals are limited in the county. The long-term goal of this project is to develop a demonstration and education hub for small, limited-resource, urban and rural BFRs of diverse backgrounds in Santa Clara county. Linking agricultural and environmental conservation education programs is essential for long term viability of agriculture, grazing lands, and the environment in an increasingly urbanizing region. The project aims to offer workshops, fields days and facilitate farmer to farmer networking. The expected outcomes are 1. BFRs will increase their agricultural skills, knowledge of and adopt sustainable farming practices, farm business planning, and marketing leading to long term farm economic and environmental viability. 2. Increased agricultural awareness within the local community. 3. Increased organic waste diversion from landfills for sustainable soil management.	GRANT CATEGORY: * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 5	REQUEST: \$167,411	92.75	Recommended	CAC: Concur to recommend	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Confusion about scale- is this connected to community gardens? Farming at Martial Cottle? Supports small-scale farmers.o Small farm training success: Santa Cruz county and around Monterey Bay in part because of training opportunitieso Santa Clara ag land: lots of young people who want to learn – next generation of sustainable ag in SCC• Public Comments:<ul style="list-style-type: none">o Project not only for farmers, also includes environmental education component (eg composting workshops) open to everyone in Santa Clara countyo Bring together ranch & pastures educational workshopso Work with local communitieso Located in District 5 but serves all countyo New program at Martial Cottle. Nothing else in our region.	\$ 1,036,510

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
a.11.	APPLICANT: The Santa Clara County Food System Alliance PROJECT: Small-Scale Urban and Peri-urban Agriculture in Santa Clara County	GRANT CATEGORY: * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 1 OSA District 2 OSA District 3 OSA District 4 OSA District 5 OSA District 6 OSA District 7	REQUEST: \$24,999	92.00	Recommended if funding available	CAC: Concur to recommend if funding	• CAC Comments: o Strong community building, food access/agriculture activity o Identification of problems of food system o This project a result of recommendations o Importance in coming year with the Urban Ag Incentive Zones o Complements urban agriculture programs. Helps OSA agriculture strategies and agriculture viability o Location will be consortium/county wide • No public comments	\$ 1,061,509
ABSTRACT: This Santa Clara County Food System Alliance project will address the issue of economic and social viability of small-scale urban and peri-urban agricultural operations in Santa Clara County. The Alliance will produce a report that highlights potential models for creating successful small-scale agricultural operations and identifies marketing and distribution systems that expand healthy food access by linking a viable urban and peri-urban agricultural system to residents of Santa Clara County, particularly underserved communities. The report will also provide recommendations illustrating how to implement potentially successful models in the county. The data and recommendations in the report will be useful for policymakers, elected officials, partner organizations, beginning and existing small farmers, and they will feed into the Sustainable Agricultural Lands Conservation Strategy process and Urban Agriculture Incentive Zone implementation.									
a.12.	APPLICANT: Thomas P. Ryan Elementary PROJECT: 21st Century Makerspace	GRANT CATEGORY: * Environmental Education	OSA DISTRICT(S): OSA District 6	REQUEST: \$24,967	91.75	Recommended if funding available	CAC: Not recommended	• CAC Comments: o Supports STEAM education – Science Technology Engineering Arts and Math o Who submitted this proposal? Was the district involved? Staff: Submitted by school principal and supported by a variety of school staff and community members. o No key words from OSA mission like "open space" or "wildlife" o Not strong application o Shares concerns about this project-can't support all education projects with environmental focus o What about sustainability – need District support o Would like more definitive application, rewrite for next year o Support - districts are not always obligated to fund specific school projects, so individual schools apply for grants independently o Feeder school – good to link to middle school o Unique offering o Support - Learning lab • No public comments	\$ 1,086,476
ABSTRACT: The goal of the Ryan Elementary 21st Century Makerspace is to provide students with a learning lab that promotes environmental education. The Makerspace will engage students in the engineering design process by providing students with materials, resources, and technology to develop an increased awareness of problems within their community while allowing them to develop solutions. The makerspace will expand on the already existing STEAM program by providing a space dedicated to experimenting, trial and error, and creativity.									
a.13.	APPLICANT: Keep Coyote Creek Beautiful PROJECT: Coyote Meadows Park Community Planning and Concept Project	GRANT CATEGORY: * Env Stewardship & Restoration * Parks, Trails & Public Access	OSA DISTRICT(S): OSA District 7	REQUEST: \$76,703	91.50	Recommended if funding available	CAC: Concur to recommend if funding	• CAC Comments: o Strongly approve/big improvement o Measure Q called out this area, no reason to delay until next year o “A” list, tremendously important could become a “jungle” again o Strong community building o Close to urban and regional trails o What is next phase of planning and visioning? • Public Comments: o Former landfill, project overview: o Work with community to figure out what to do – “Dream with Community” o Support strong community building o Activating space – first stage – don’t wait for City beyond clean-up o Community engagement will make trail safe for people using it o Project supports human ecology o How can environmentalism include homeless jungle removal? o Rare opportunity for fifty acres of park so close to San Jose	\$ 1,163,179
ABSTRACT: In the heart of the City of San Jose Coyote Meadows (formerly known as a homeless encampment named "The Jungle") is a nearly 50-acre parcel of land designated as open space owned by the City of San Jose along Coyote Creek. This land is a linchpin for connecting the community in an east-west and north-south route as a trail corridor. Together with the City of San Jose, community members seek to activate this area as a natural park adjacent to a neglected waterway. This project will provide community planning and conceptual designs, with the end result of a urban open space park with native plants, passive recreational uses. and a strong stewardship infrastructure.									

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
a.14.	APPLICANT: City of Morgan Hill PROJECT: Morgan Hill Community Garden Expansion and Relocation	GRANT CATEGORY: * Env Stewardship & Restoration * Parks, Trails & Public Access * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 1	REQUEST: \$248,012	91.00	Recommended if funding available	CAC: Concur to recommend if funding	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Created with City funds and community /city can not fund this project.o Without funding, project will not move forwardo Need to relocateo Site of fire station will remain as public useo Size of garden and “new native habitat”- new site on Railroad Avenueo Go to Board for other funding• Public Comments:<ul style="list-style-type: none">o Very professional process/difficult decision.o City helping with land but project is community driven. There is no staff time funded.	\$ 1,411,191
a.15.	APPLICANT: Our City Forest PROJECT: San Jose Center for Urban Forestry	GRANT CATEGORY: * Env Stewardship & Restoration * Parks, Trails & Public Access * Environmental Education	OSA DISTRICT(S): OSA District 5	REQUEST: \$195,194	88.33	Recommended if funding available	CAC: Concur to recommend if funding	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Work2Future fills important need for technical educationo Has OCF requested funds from other sources for this work?o Skeptical -seems very specializedo Three projects at Martial Cottle. Economies of scale. Possible to combine them?• Public Comments:<ul style="list-style-type: none">o Funding needed for environmental education and infrastructure funding for this siteo OCF has provided environmental education for 20 years but nursery located near airport in flight patho Urban forestry is expensiveo There is a Martial Cottle Master plan and each of these projects fit into the Master plano Regular coordination meetings between Martial Cottle partners: Santa Clara County Parks, Master Gardeners, 4H, etc.	\$ 1,606,385
a.16.	APPLICANT: San Francisco Bay Bird Observatory PROJECT: Coyote Creek Field Station Environmental Education Program	GRANT CATEGORY: * Environmental Education	OSA DISTRICT(S): OSA District 2	REQUEST: \$34,500	88.25	Recommended if funding available	CAC: Concur to recommend if funding	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Life-changing experience – overview of programo Supports hands on childhood experienceo More expansion – bigger/better than what is already happeningo New education moduleo Field station and curriculumo Low cost teaching children about birds• Public Comments:<ul style="list-style-type: none">o Living lab programo Valuable project – one of eleven field stations; give children accesso In favor, supports technical expertise	\$ 1,640,885

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
a.17.	APPLICANT: Friends of Master Gardeners of Santa Clara County PROJECT: The Master Gardener Community Education Center at Martial Cottle Park: Supporting the Environment at Your Own Home	GRANT CATEGORY: * Env Stewardship & Restoration * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 5	REQUEST: \$132,353	87.50	Recommended if funding available	CAC: Concur to recommend if funding	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Seems similar to other projectso Vote to support and to offset other \$250,000 project that was not supportedo Would we consider partial finding? Staff: Not this year/Board will decideo Capacity building improves success of Master “background gardening”o Unaware they were self-fundedo Support families & children• Public Comments:<ul style="list-style-type: none">o Self-funded/not part of UC Extensiono Great community interest/shovel ready – native garden in placeo Classes currently under portable canopy; won't work year round	\$ 1,773,238
a.18.	APPLICANT: City of San Jose PROJECT: Alum Rock Park Vegetation Management and Restoration Project	GRANT CATEGORY: * Env Stewardship & Restoration	OSA DISTRICT(S): OSA District 1 OSA District 2	REQUEST: \$250,000	85.75	Recommended if funding available	CAC: Not recommended	<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Different type of project – why isn’t City doing this already / should be ranked lower than otherso Lower priorityo Is this a planning project or does it include workers? Some field work and ongoing maintenance included (volunteer program)o Support - as an agency we are developing our relationship with Alum Rock; this area needs restoration and invasive plant removal. Problems with oxaliso How does this fit in with OSA work? Staff: Projects are complementary but separate.o Support but cost is too high• No public comments	\$ 2,023,238
b.1.	APPLICANT: Sacred Heart Community Service PROJECT: La Mesa Verde Community Garden	GRANT CATEGORY: * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 3	REQUEST: \$24,961	84.75	Not recommended			\$ 2,048,199
b.2.	APPLICANT: Bay Area Ridge Trail Council PROJECT: Closing a Trail Gap: Feasibility Study Ridge Trail - Santa Teresa County Park to Coyote Creek Trail	GRANT CATEGORY: * Parks, Trails & Public Access	OSA DISTRICT(S): OSA District 1	REQUEST: \$57,750	84.75	Not recommended		<ul style="list-style-type: none">• CAC Comments:<ul style="list-style-type: none">o Why was this not a higher priority?o Support for Bay Area Ridge Trail Council	\$ 2,105,949

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
b.3.	APPLICANT: City of San Jose PROJECT: Kids Bloom the Darnest Things ABSTRACT: The City of San Jose is seeking grant funding to provide life sciences essential skills and knowledge that students need to be scientifically literate citizens in the twenty-first century. These 2-3 hour field lab classes are focused on Plant Sciences and are targeted for 1,500 4th and 5th grade students and will be aligned with California Science Education Standards. The classes would include field work where the students tend to farm crops or native plants in restoration projects. The grant would pay for staffing, materials, student fees and transportation costs for schools that can’t otherwise afford field trips. Classes would use technology to examine plant structure using such things as smart phone attachment microscopes. Students would first conduct classroom learning where they gain background knowledge about their park visit. In the park they would conduct lab work with hands on examination and learning. The lab work would be followed by field work at each park.	GRANT CATEGORY: * Environmental Education	OSA DISTRICT(S): OSA District 1 OSA District 2 OSA District 6 OSA District 7	REQUEST: \$250,000	84.50	Not recommended			\$ 2,355,949
b.4.	APPLICANT: City of San Jose PROJECT: Guadalupe Oak Grove Park Vegetation Management Project ABSTRACT: Guadalupe Oak Grove Park harbors one of the last remaining large tracts of deciduous oak savanna and woodland on the floor of the Santa Clara Valley, and it represents an invaluable natural resource to the City of San Jose (City) and to oak woodland conservation in the San Francisco Bay region. The City prepared a management plan to develop management goals, objectives and actions to preserve and enhance the natural resources of the park while integrating and balancing the current recreational uses for local residents. The project implements initial restoration and stewardship tasks over three years to set the park on the trajectory of achieving the goals and objectives of the management plan and provide a foundation for successful long-term management.	GRANT CATEGORY: * Env Stewardship & Restoration	OSA DISTRICT(S): OSA District 5	REQUEST: \$250,000	83.25	Not recommended		• CAC Comments: o Valley Oak – last remaining o Wildflowers – overview o Understand limited funds	\$ 2,605,949
b.5.	APPLICANT: Marine Science Institute PROJECT: Discover Our Bay ABSTRACT: Marine Science Institute (MSI) will provide nature- and science-based, hands-on environmental education programs for schools within the underserved, disadvantaged, and park-poor neighborhoods of the defined Deep Engagement Communities (DEC), specifically Milpitas Unified, Santa Clara Unified, Franklin-McKinley Elementary, Alum Rock, and Morgan Hill School Districts. A 3-year grant of \$125,000 will provide engaging outdoor science education for more than 3,000 low-income K-8 students per year. Within Discover Our Bay programs, students have the opportunity to take a ship out onto the San Francisco Bay, visit the tide pools with their class, or touch living sharks and other marine creatures. MSI provides these experiences and more, with Next Generation Science Standards (NGSS)-aligned curriculum delivered by expert educators that can spark a long-lasting interest in science, nature and environmental stewardship.	GRANT CATEGORY: * Environmental Education	OSA DISTRICT(S): OSA District 1 OSA District 2 OSA District 3 OSA District 6 OSA District 7	REQUEST: \$190,000	81.25	Not recommended			\$ 2,795,949
b.6.	APPLICANT: City of Campbell PROJECT: Edith Morley Community Garden ABSTRACT: The Edith Morley Community Garden Project will improve the City of Campbell's only community garden. The project will create a 16' by 16' gazebo and a 10' by 10' gazebo workstation with a raised table to allow gardeners to escape the sun. The project will also create a pergola bench and 1,168 square feet of drought tolerant gardens for attracting pollinators. The gardens will also include educational signage to educate the public on the variety of plants used.	GRANT CATEGORY: * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 4	REQUEST: \$40,462	79.75	Not recommended			\$ 2,836,411

					Total Score	Staff Recommendation	CAC vote	Feedback from Citizens' Advisory Committee (CAC) Meeting 10/24/16	Total if all funded
b.7.	APPLICANT: Valley Verde Urban Food System PROJECT: Valley Verde Community Food System	GRANT CATEGORY: * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 4 OSA District 6	REQUEST: \$63,000	78.75	Not recommended			\$ 2,899,411
ABSTRACT: Valley Verde has 3 connected components that comprise its urban food system: Home Gardening: Families are provided raised garden beds, drip irrigation systems, seedlings, monthly organic gardening workshops and face-to-face training to harvest organic vegetables at home. Super Jardineros: Selected Home Gardening graduates learn to operate micro-businesses by cultivating seedlings in custom greenhouses for retail sale and for Valley Verde to supply to the Home Gardener program. This program is now expanding to include a large, central greenhouse in which participants will work together to cultivate 20,000 seedlings. Deep Roots: Experienced gardeners are trained to become mentors who provide 1:1 coaching for each program participant.									
b.8.	APPLICANT: The California Native Garden Foundation PROJECT: Land Acquisition	GRANT CATEGORY: * Env Stewardship & Restoration * Parks, Trails & Public Access * Environmental Education * Urban Ag / Food Systems	OSA DISTRICT(S): OSA District 3	REQUEST: \$250,000	77.50	Not recommended		<ul style="list-style-type: none">Public Comments:<ul style="list-style-type: none">Property at risk of developmentWorking to create Sustainable Urban Land InstituteThis work is importantUrban palette, sustainable place = capacity building	\$ 3,149,411
ABSTRACT: We, the California Native Garden Foundation (CNGF), are seeking funds from the Open Space Authority to purchase the land we have been using for environmental education for 16 years.The CNGF envisions the 76 Race Street facility serving as both an educational centrepiece and gateway for OSA's urban (and urban fringe) agricultural programs. The natural environmental classroom will be able to serve as a nexus for bringing together a multitude of organizations, businesses and stakeholder groups that jump start OSA urban agricultural programs to catch up to the leading edge of the movement. The centrally located and community accessible facility is well-positioned to serve as a gateway to groups and individuals that are looking to transform their yards and community spaces into native plant gardens, regenerative urban farms and ecological teaching laboratories. * *See attached cover letter under documents.									
b.9.	APPLICANT: Gemellos Murals PROJECT: Evergreen Mural Walk	GRANT CATEGORY: * Env Stewardship & Restoration * Environmental Education	OSA DISTRICT(S): OSA District 4	REQUEST: \$149,000	74.00	Not recommended			\$ 3,298,411
ABSTRACT: This is a series of Public Art/Space Murals engaged by the residents of this Community in its execution. The Evergreen Mural Walk uses digital overlays to solve real issues to Public Art in urban communities and empowers the public to have a more intimate connection with the artwork. The research derived series of murals discusses the rich heritage of open space, farming and agriculture we once had here in an effort to create pride in our Community.									

Santa Clara Valley Open Space Authority

Citizens' Advisory Committee

DRAFT SPECIAL Meeting Minutes

4:00 p.m. Monday, October 24, 2016

Tully Library Community Room

880 Tully Road, San Jose, CA 95111

Citizens' Advisory Committee Members:

Name	Represent	Present	Absent
Susan McKuhen	District 1	X	
Vacant	District 2	-	-
Jan Hintermeister	District 3	X	
Eric Carruthers	District 4	X	
Gloria Chun Hoo	District 5		X
Minh Pham	District 6	X	
Raj Bhanot	District 7		X
Justin Fields	Agriculture	X	
Vacant	Business	-	-
Kathy Sutherland	Civic Organizations	X	
Daniel J. Kenney	Development Community	X	
Steven Corelis	Education		
David Poeschel	Environmental	X	
Amor Santiago	Health or Public Safety	X	
Scott Akin	Labor	X	
Garnetta Annable	Parks	X	
Kitty Monahan	Trails	X	

Board Liaison Present: Virginia Holtz

Staff Present: Andrea Mackenzie
 Matt Freeman
 Marc Landgraf
 Donna Plunkett
 Derek Neumann
 Megan Dreger
 Patty Eaton
 Dana Litwin
 Kellie Guerra
 Alexis Shields

Call to order: Chairperson Amor Santiago called the meeting to order at 4:09 p.m.

Oral Communications There were none

Opening Report

Chairperson Amor Santiago introduced General Manager Andrea Mackenzie. Ms. Mackenzie provided an overview of the Open Space Authority (Authority) and its mission and vision. Ms. Mackenzie introduced Citizens' Advisory Committee (CAC) Board Liaison Director Virginia Holtz. Director Holtz expressed her appreciation to the community for their interest and participation in the Urban Open Space Grant Program.

Written Comments There were none

Summary of Measure Q Urban Open Space Grant Program Applications

Mr. Santiago provided an overview of the format of the meeting and introduced Grants Administrator Megan Dreger. Ms. Dreger provided a summary of the Urban Open Space Program (Program) and the Program Guidelines and summarized the Authority's grant review process. Ms. Dreger discussed the grant applications and the categories that they fell into, and reviewed the preliminary staff recommendations that reflected the distribution of funds, the Districts that projects were in and categories of projects.

Mr. Santiago began the grant review process for grants that staff had recommended. Mr. Santiago abstained from all voting unless a tie breaker was needed.

Recommended Projects

1. Santa Clara County Public Health Department - Park n' Play
Mr. Santiago recused himself from the discussion.
Ms. Annable made a motion to not recommend the Project. Mr. Kenney seconded the motion.
Eight (8) members voted in favor of not recommending the Project.
Three (3) members abstained from voting on the Project.
Project not recommended to Board.
2. Bay Area Ridge Trail Council - Gateway to Trails and Parks
Mr. Kenney made a motion to recommend the Project. Mr. Carruthers seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
3. Bay Area Wilderness Training – South Bay Capacity Building Project (Getting Underserved Youth Outside)
Mr. Carruthers made a motion to recommend the Project, Mr. Phan seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
4. City of Campbell – Nido Neighborhood Reforestation
Mr. Kenney made a motion to recommend the Project. Ms. Monahan seconded the motion.
Ten (10) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
One (1) member abstained from the vote.
Project recommended to Board.
5. Guadalupe River Parkway Conservancy – Environmental Education of Title One Students and Boys & Girls Club Members
Mr. Kenney made a motion to recommend the Project. Mr. Akin seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.

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6. Joseph George Middle School/Citizen Schools -- Learning Lab & Community Garden
Mr. Kenney made a motion to recommend the Project. Mr. Phan seconded the motion.
Eleven (11) members voted to in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
 7. Latinos United for a New America (LUNA) – Nuestro Lugar
Mr. Kenney made a motion to recommend the Project. Mr. Phan seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
 8. San Jose State University Research Foundation – CommUniverCity: Growing Sustainably
Mr. Santiago recused himself from the discussion.
Ms. Mckuhen made a motion to recommend the Project. Mr. Kenney seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
 9. Santa Clara County Creeks Coalition – Coyote Creek Homeless Stream Stewards Trash Free Coyote Creek
Mr. Pham made a motion to recommend the Project. Mr. Kenney seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.
 10. The Regents of the University of California/UCCE Santa Clara County – Martial Cottle
Mr. Kenney made a motion to recommend the Project. Mr. Carruthers seconded the motion.
Eleven (11) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board.

Projects Recommended if Funding Available

11. City of Morgan Hill – Morgan Hill Community Garden Expansion and Relocation
Mr. Kenney made a motion to recommend the Project. Mr. Akin seconded the motion.
Ten (10) members voted in favor of recommending the Project.
One (1) member voted against recommending the Project.
Project recommended to Board if funding available.
12. City of San Jose – Alum Rock Vegetation Management and Restoration project
Ms. Annable made a motion to not recommend the Project. Mr. Kenney seconded the motion.
Eight (8) members voted in favor of not recommending the Project.
Three (3) members abstained from voting on the Project.
Project not recommended to Board if funding available.
13. Friends of Master Gardeners of Santa Clara County – Community Edu. Center at Martial Cottle Park:
Supporting the Environment at Your Own Home
Ms. McKuhen made a motion to recommend the Project. Ms. Monahan seconded the motion.
Eleven (11) members voted in favor of recommending the Project.

Zero (0) members voted against recommending the Project.
Project recommended to Board if funding available.

CAC member Justin Fields left the meeting.

14. Keep Coyote Creek Beautiful – Coyote Meadows Park Community Planning and Concept Project
Mr. Poeschel made a motion to recommend the Project. Mr. Kenney seconded the motion.
Ten (10) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board if funding available.

15. Our City Forest – San Jose Center for Urban Forestry
Mr. Pham made a motion to recommend the Project. Mr. Akin seconded the motion.
Six (6) members voted in favor of recommending the Project.
Four (4) members voted against recommending the Project.
Project recommended to Board if funding available.

16. San Francisco Bay Bird Observatory – Coyote Creek Field Station Environmental Education Program
Mr. Hintermeister made a motion to recommend the Project. Ms. Monahan seconded the motion.
Ten (10) members voted in favor of recommending the Project.
Zero (0) members voted against recommending the Project.
Project recommended to Board if funding available.

17. Santa Clara County Food System Alliance – Small-scale Urban and Peri-Urban Agriculture in Santa Clara County
Mr. Kenney made a motion to recommend the Project. Ms. Monahan seconded the motion.
Nine (9) members voted in favor of recommending the Project.
One (1) member voted against recommending the Project.
Project recommended to Board if funding available.

18. Thomas P. Ryan Elementary - 21st Century Makerspace
Mr. Kenney made a motion to recommend the Project. Mr. Phan seconded the motion.
Five (5) members voted in favor of recommending the Project.
Five (5) member voted against recommending the Project.
Mr. Santiago voted against recommending the project to break the tie.
Project not recommended to Board if funding available.

CAC, staff and public discussion ensued.

Mr. Santiago adjourned the meeting at 8:15 p.m.

Measure Q Urban Open Space Grant 2016-17

Top 10 Recommended Awards Distribution

The Authority received 30 applications totaling just over \$3.8 million. The applications were first screened for eligibility and three were determined to be ineligible, which brought the total to 27 applications requesting almost \$3.3 million.

Authority staff with diverse experience and expertise reviewed and scored the applications independently. The group then used the average of the individual scores to determine the preliminary staff recommendations. This includes the top ten scoring applications totaling \$1,036,510.

Overall:

	Awards	# Apps
Eligible Applications	\$1,036,510	10

Distribution: Size of grants

	Awards	# Apps
Small Grants \$2,500 - \$25,000	\$62,129	3
Large Grants \$25,001 - \$250,000	\$974,381	7

Distribution: Grant Categories

Note: applications can be in multiple categories

	TOTAL		Grant Category by Grant Size			
			Small Grants		Large Grants	
	Awards	# Apps	Awards	# Apps	Awards	# Apps
Environmental Stewardship & Restoration	\$310,971	3	\$12,142	1	\$298,829	2
Parks, Trails & Public Access	\$591,401	4	\$0	0	\$591,401	4
Environmental Education	\$674,138	6	\$49,987	2	\$624,151	4
Urban Agriculture / Food Systems	\$609,151	4	\$25,000	1	\$584,151	3

EXHIBIT J

Distribution: OSA Board District

Note: applications can fall in multiple Board Districts

	TOTAL		OSA District by Grant Size			
			Small Grants		Large Grants	
	Awards	# Apps	Awards	# Apps	Awards	# Apps
OSA District #1	\$40,000	1	\$0	0	\$40,000	1
OSA District #2	\$353,543	4	\$12,142	1	\$341,401	3
OSA District #3	\$231,727	3	\$24,987	1	\$206,740	2
OSA District #4	\$88,829	2	\$0	0	\$88,829	2
OSA District #5	\$457,411	3	\$0	0	\$457,411	3
OSA District #6	\$743,882	6	\$37,142	2	\$706,740	4
OSA District #7	\$302,142	3	\$12,142	1	\$290,000	2

	OSA District by Grant Category							
	Env Stewardship		Parks Trails & Access		Environmental Ed		Urban Agriculture	
	Awards	# Apps	Awards	# Apps	Awards	# Apps	Awards	# Apps
OSA District #1	\$0	0	\$40,000	1	\$40,000	1	\$0	0
OSA District #2	\$12,142	1	\$341,401	3	\$290,000	2	\$0	0
OSA District #3	\$0	0	\$40,000	1	\$231,727	3	\$166,740	1
OSA District #4	\$48,829	1	\$40,000	1	\$40,000	1	\$0	0
OSA District #5	\$0	0	\$290,000	2	\$457,411	3	\$167,411	1
OSA District #6	\$262,142	2	\$540,000	3	\$481,740	4	\$441,740	3
OSA District #7	\$12,142	1	\$290,000	2	\$290,000	2	\$0	0

Measure Q Urban Open Space Grant Program Guidelines

2016/2017 Grant Cycle

APPLICATION DEADLINE:
Friday, August 26, 2016



SUMMARY

The Santa Clara Valley Open Space Authority is seeking high quality grant proposals for projects that create healthy and safe open space and park areas in cities and unincorporated urban areas within the Authority's boundaries. The proposals must fall within one or more categories:

- Environmental Stewardship and Restoration
- Parks, Trails, and Public Access
- Environmental Education
- Urban Agriculture / Food Systems

AWARD INFORMATION

- Total funding for FY 16/17: \$1,000,000.
- Anticipated grants awarded: 10-15 grants, aiming for a diversity of project types, project locations, and project size.
- Length of funding: 1-4 years, depending on size of grant.

ELIGIBILITY INFORMATION

Eligible applicants for Measure Q grant funding are:

- Public agencies and special districts located within the Authority's boundaries.
- Schools and school districts located within the Authority's boundaries.
- Non-profit organizations whose project is located within the Authority's boundaries.

PUBLIC WORKSHOPS

The Authority will hold two public information workshops to provide an overview of the grant and the application process. Participation is recommended but not mandatory.

Tuesday, July 19 5:30 – 7:00 pm Tully Branch Library, 880 Tully Rd, San Jose. Please [RSVP](#) to attend. If you would like a real-time Spanish translator at this meeting, please select the [Spanish Translation RSVP](#) by Wednesday, July 6, 2016.

Wednesday, July 20 1:00 – 2:30pm ONLINE ONLY, please [RSVP](#) to receive the link and access code.

DEADLINE

The complete proposal and all supporting documentation must be submitted by 11:59 pm on **Friday, August 26, 2016**. The application is online at: <http://www.openspaceauthority.org/urban>.

CONTACTS

For questions about this Grant Program, please contact Megan Dreger, Grants Administrator by email at mdreger@openspaceauthority.org.

This document, mailing list information, and any program updates can be found at: <http://www.openspaceauthority.org/urban>

EXHIBIT K

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About the Santa Clara Valley Open Space Authority

The Santa Clara Valley Open Space Authority (Authority) was founded in 1993 for the purpose of balancing rapid development and ensuring protection of valuable open space lands and productive farm and ranchland. A small, diverse group of citizen activists together with community, business and government leaders sowed the seeds for the founding of the Santa Clara Valley Open Space Authority during the 1970s and '80s through advocacy and education about the threats to the environment from development and the urgent need to protect open space lands. Their tireless efforts on behalf of open space culminated with Governor Pete Wilson signing the Authority into being in 1993. The Authority's jurisdiction includes the cities of San Jose, Santa Clara, Milpitas, Campbell, Morgan Hill, and parts of

Mission Statement

The Open Space Authority conserves the natural environment, supports agriculture and connects people to nature, by protecting open spaces, natural areas, and working farms and ranches for future generations.

unincorporated Santa Clara County. The Authority protects the County's quality of life by preserving open space and natural resources; it does so through well-planned land preservation, state-of-the-art resource management, educational programs, and collaborative partnerships.

Today, the Open Space Authority is governed by a seven-member Board of Directors elected to four-year terms. Each director represents one of the Authority's seven governing districts. The Board oversees all Authority

business including approval of policies, work plans, and budgets. The Board conducts business at regular meetings on the second and fourth Thursdays of each month.

In addition, a Citizens' Advisory Committee (CAC) provides public input to the Board and helps educate the public about the Authority's goals and accomplishments. Members of the CAC are appointed by the Board to serve two-year terms. Seven of the members represent each of the Authority's districts; nine more represent various interest groups: agriculture, business, civic organizations, development community, education, environmental/open space, labor, parks, and trails.

About Measure Q

In November 2014, voters within the Authority's jurisdiction approved the Open Space, Wildlife Habitat, Clean Water and Increased Public Access Measure (Measure Q). Measure Q is intended to increase the capacity of the Authority to protect and preserve natural open space areas for future generations by: improving parks, open spaces and trails; protecting land around creeks, rivers and streams to prevent pollution and improve local water quality; preserving and restoring wildlife habitat and natural areas; expanding public access; enhancing environmental education; and protecting scenic hillsides. Through a \$24 annual parcel tax, Measure Q will generate approximately \$7.9 million per year for open space protection through 2030.

Funding from Measure Q will help achieve the goals and objectives of the *Santa Clara Valley Greenprint*, which serves as the Authority's strategic vision to inform investments in open space protection. Based on the latest conservation science and developed through an extensive community engagement process, the *Santa Clara Valley Greenprint* highlights the importance of conservation investments in multi-benefit projects that result in more livable, environmentally sustainable, and climate-resilient communities. The *Santa Clara Valley Greenprint* is available on the Authority's website at <http://openspaceauthority.org>

The *Measure Q Expenditure Plan* [Appendix F] describes four key programs that emerged from the *Santa Clara Valley Greenprint*, including an Urban Open Space Grants Program.

Purpose of the Measure Q Urban Open Space Grant Program

The purpose of this Grant Program is to create healthy and safe open space and park areas in cities and unincorporated urban areas within the Authority's boundaries by:

- Preserving open space through acquisition of fee title or conservation easements
- Establishing or improving parks, trails, or other recreational facilities that connect people to nature
- Enhancing and restoring habitat, natural resources and water resources
- Enhancing access to healthy food through urban farms and gardens
- Developing environmental education programs or facilities

The Grant Program is also intended to promote investments in underserved, disadvantaged, and park-poor neighborhoods and to more equitably distribute the benefits of nature throughout the diverse communities and neighborhoods within the Authority's jurisdiction. Through the *Understanding Our Community* assessment project [see Appendix G: Deep Engagement Communities Map], the Authority identified several priority "Deep Engagement Communities," which are areas with the greatest barriers to access of open space (due to, for example, language barriers and lack of personal vehicles) and the greatest environmental burdens (such as air pollution levels). Projects that address open space needs of Deep Engagement Communities or sensitive populations will be awarded bonus points.

GRANT GUIDELINES AND PROJECT EVALUATION CRITERIA

The purpose of these Grant Guidelines is to outline the process and criteria the Authority will use to solicit grant applications, evaluate proposals, and award grants. All projects funded by the Authority with Measure Q funds must be consistent with the Authority's enabling legislation, the *Measure Q Expenditure Plan*, and the Evaluation Criteria included in these Guidelines. Funds from this grant program can only be used for projects and programs that benefit urban communities within the Authority's boundaries. These Guidelines may be updated periodically by the Authority's Board of Directors.

FUNDING AVAILABILITY

As outlined in the *Measure Q Expenditure Plan*, no more than 25 percent of the revenues generated by Measure Q will be made available for this Grant Program. Grant funds will be expended throughout the 15-year term of Measure Q until 2030. The Authority's Board of Directors will solicit proposals at least once annually. Each year, the Board of Directors will determine the appropriate amount to be released in grant funds. The amount of funding allocated each year may vary based on the total funding accrued to-date, to take advantage of available matching funds, and to provide flexibility in addressing emerging issues. Grant allocations will strive to reward a diversity of projects and be balanced geographically across the Authority's jurisdiction. The grant allocation process and the individual projects shall be subject to approval by the Authority's Board of Directors.

The Grant Program will be evaluated annually and redesigned as needed in future funding cycles.

Application Process

Each grant cycle, a call for applications will be announced on the Authority's website <http://www.openspaceauthority.org/urban> and social media, distributed to agency partners, and through outreach by the Authority. Applications and related materials are available at the Authority's website. The Authority will maintain a list of interested parties to receive updates or notices. To receive these notices, go to <http://www.openspaceauthority.org/urban>.

SUBMISSION DATE

All applications for funding must be received by 11:59 pm on **Friday, August 26, 2016**.

The online application is available at <http://www.openspaceauthority.org/urban>. If an alternative format is required, please contact Megan Dreger, Grants Administrator, at mdreger@openspaceauthority.org.

SCHEDULE AND KEY DATES:

July 8, 2016	Solicitation period begins
July 8 – August 12, 2016	Question and Answer period. Answers to questions submitted will be posted to http://www.openspaceauthority.org/urban
July 19, 2016 5:30 – 7:00pm July 20, 2016 1:00 – 3:30pm	Public Workshops, details below
August 26, 2016	Solicitation period closes at 11:59 pm
August 27 – September 16, 2016	Staff reviews applications and makes award recommendations
September 26, 2016	Anticipated date staff recommendations presented to the Citizens Advisory Committee (CAC)
October 13, 2016	Anticipated date staff recommendations and CAC feedback presented to the Board of Directors

PUBLIC WORKSHOPS

The Authority will hold two public information workshops to provide an overview of the grant and the application process. Participation is recommended but not mandatory. RSVP and other information is available at <http://www.openspaceauthority.org/urban>.

Tuesday, July 19 5:30 – 7:00 pm Tully Branch Library, 880 Tully Rd, San Jose. Please RSVP to attend. If you would like a real-time Spanish translator at this meeting, please select the Spanish Translation RSVP by Wednesday, July 6, 2016.

Wednesday, July 20 1:00 – 2:30pm ONLINE ONLY, please RSVP to receive the link and access code.

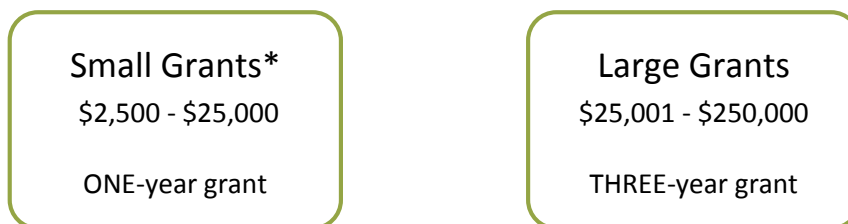
Questions:

Megan Dreger, Grants Administrator
408.224.7476
mdreger@openspaceauthority.org

Grant Funding

The total funding available for 2016/17 awards is \$1,000,000. The Authority expects to award between 10 and 15 grants, aiming for a diversity of project types, project locations, and project size.

Grant awards fall in two broad ranges:



*Small Grants have a shorter grant period and require fewer financial statements to apply, as noted below.

Eligible Applicants

Eligible applicants for Measure Q grant funding are:

- Public agencies, including the cities of Campbell, Milpitas, Morgan Hill, Santa Clara and San Jose, Santa Clara County, and special districts.
- Private, non-profit organizations that qualify under Section 501(c)(3) of the United States Internal Revenue Code. The Authority will accept applications from non-profit organizations headquartered outside of its boundaries, but all projects and programs funded through Measure Q must provide a direct, measurable benefit to the urban areas within the Authority's boundaries.
- Local schools and school districts located within the Authority's boundaries.

Details about the Authority's boundaries can be found on our Board District Map at <http://www.openspaceauthority.org/about/boardmap.html>

If the organization does not fall under these categories, the applicant will need to work with a fiscal sponsor, which is a tax-exempt 501(c)(3) organization that agrees to accept and be responsible for grant funds on behalf of another organization. The fiscal sponsor is responsible for the general management of grant funds, which can include keeping financial records, disbursing funds in accordance with the grant, fulfilling reporting requirements, and ultimately ensuring compliance with grant project terms and conditions.

Grant Project Categories

The *Santa Clara Valley Greenprint* and the *Measure Q Expenditure Plan* include numerous examples of projects that are eligible for funding through the Grants Program, and which can be characterized by elements that fall within the four Project Categories shown below. Examples of the types of project elements that could be considered for funding within each of the Project Categories are provided for illustrative purposes only. Proposed projects must demonstrate elements within one or more of these Categories to qualify for the Program.

ENVIRONMENTAL STEWARDSHIP AND RESTORATION

In general, this broad category includes green infrastructure and natural resources projects. Some examples include:

- Planning, design, and/or implementation of habitat restoration and enhancement projects
- Acquisition and/or restoration of wetlands, floodplains, riparian areas
- Urban forestry, canopy census, and tree planting projects
- Integrating open space with stormwater management using raingardens, bioswales, permeable pavement, green roofs, etc.

PARKS, TRAILS, AND PUBLIC ACCESS

This includes parks and trails projects as well as projects which add to the connectivity between existing open space areas. Some examples include:

- Acquisition of property for parks and open space
- Planning, design and construction of new parks, trails, and open space facilities
- Conversion of brownfields or vacant lots into parks or preserves
- Conversion of underutilized parking, street width, or public right of way into pocket parks, parklets, or linear parks
- Trails, bike lanes, and bicycle transit programs including bike share programs
- Trail sections which close gaps in the existing trail network
- Public nature-themed art that inspires understanding and appreciation of nature

ENVIRONMENTAL EDUCATION

This includes both education facilities and educational programs. Some examples include:

- Nature centers and environmental education facilities
- Life labs, gardens, and school greening projects
- Transportation and access to Authority preserves
- Job training for youth and young adults related to protection and stewardship of the natural environment, wildlife, water resources and agricultural lands
- Citizen science programs
- Nature-based learning through field tours and hands-on stewardship projects
- Nature-based playgrounds for unstructured outdoor play

URBAN AGRICULTURE / FOOD SYSTEMS

This includes projects related to cultivating, and distributing food grown within the urban areas. Some examples include:

- Planning, design and implementation of urban farms and community gardens
- Farm education and access programs such as farm trails
- Acquisition of property for urban farms and community gardens
- On-farm research and demonstration projects that balance agricultural production with environmental protection and enhancement

Grant Project Requirements

ELIGIBLE PROJECTS MUST

- Be consistent with the Authority's enabling legislation [Division 26, Sections 35100-35174 of the Public Resources Code]
- Achieve *at least* one of the purposes established in the *Measure Q Expenditure Plan* [Appendix F]:
 - Protect and enhance open space, wildlife habitat and wildlife corridors, and develop land for nature-based parks, open space preserves, trails and greenbelts.
 - Create, develop and implement pedestrian and bicycle trail connections to connect urban communities to local and regional parks, Open Space Preserves, creeks and flood control channels, to improve public health.
 - Implement urban tree planting and tree protection/maintenance.
 - Create and expand urban farms and community gardens to support public health and local agriculture.
 - Expand nature and science-based environmental education programs and hands-on youth engagement projects.
- Support the *Santa Clara Valley Greenprint* goals and objectives [see Appendix H: Planning Documents]
- Meet all Grant Project Requirements outlined in this section

HIGHLY DESIRED PROJECT ELEMENTS

- Projects that enhance wildlife habitat and provide other environmental benefits. This includes appropriate plant selection, with a strong preference for native species wherever possible.
- Projects with multiple benefits (i.e. provides benefits in more than area: wildlife, habitat, water quality, education, social justice, etc.)
- Projects featuring sustainable materials and practices

- Projects benefitting underserved communities
- Projects implemented through partnerships with other agencies and groups
- Projects with strong public support
- Projects with emphasis on community building
- Projects in more than one category (e.g. Environmental Education and Urban Agriculture)
- Projects that provide opportunities for free public access

ELIGIBLE GRANT EXPENSES

- Projects may include land acquisition from willing sellers, site development/restoration, and related capital costs within the Authority's boundaries
- Projects can include staff time if it is demonstrated that it is required to effectively implement the project. The title of each staff member must be included in the Project Budget and the staff should be described in the Organizational Capacity questions.
- Indirect costs (administrative overhead) are eligible for 501(c)(3) non-profit organizations only. However, the indirect costs are limited to 5% of the grant award. See Appendix C for more information.
- Projects may include initial maintenance during a one-year establishment period only.
- Projects may NOT include long term maintenance or any practices that are required as mitigation of any kind.
- Developed facilities (structures, parking lots, etc) may be included but will be evaluated in the to ensure that the developed footprint is balanced with the overall benefits.

LOCATION

- Projects must be within the Authority's boundaries and in an urban area or within one mile of an urban area. Urban areas are, for the purposes of these guidelines, "urban areas" as currently defined by the United States Census Bureau. See Appendix A: Map of Eligible Grant Area.

TERM OF GRANT AGREEMENT

- Small grants (\$2,500 - \$25,000) must be completed within **one year**. If the project includes an additional year to successfully establish plants on a project site, the grant period is extended to two years.
- All other grants (\$25,001 - \$250,000) must be completed within **three years**. If the project includes an additional year to successfully establish plants on the project site, the grant period is extended to four years.

- If awarded, the project must be completed within the grant period unless the Authority provides written permission for an extension.

MATCHING FUNDS

- A minimum match of **25%** of project cost is required. This can be through a cash match and/or an in-kind match.
- **Cash match** is a monetary contribution and can be from:
 - The grantee's own funds (general revenue).
 - Cash donations from third parties (i.e. partner organizations).
 - Other grants.
- **In-kind match** is a non-cash contribution from the grantee organization and can include:
 - Staff time spent on the project.
 - Volunteer hours- valued at \$27.59 per hour for general volunteer hours (more for volunteers with specialized skills). For more information, see https://www.independentsector.org/volunteer_time.
 - Staff on loan from another organization.
 - Use of existing equipment.
 - Goods or services donations from third parties.
 - Other unfunded indirect costs. See Appendix C: Direct and Indirect Cost Examples.
- Match is calculated as: $.25 * \left(\frac{\text{Grant Amount}}{.75} \right)$
- Sample Match Requirements:

GRANT AMOUNT	GRANTEE MATCH (25%)	TOTAL PROJECT COST	GRANT AMOUNT	GRANTEE MATCH (25%)	TOTAL PROJECT COST
\$5,000	\$1667	\$6,667	\$100,000	\$33,334	\$133,334
\$10,000	\$3,334	\$13,334	\$125,000	\$41,667	\$166,667
\$25,000	\$8,334	\$33,334	\$150,000	\$50,000	\$200,000
\$50,000	\$16,667	\$66,667	\$200,000	\$66,667	\$266,667
\$75,000	\$25,000	\$100,000	\$250,000	\$83,334	\$333,334

LAND OWNERSHIP/SITE CONTROL

- For development projects, applicants must have a legal interest in the property (ownership or easement) that ensures their right to implement the project, or otherwise demonstrate that they have site control sufficient to implement the project. This site control should generally be permanent, though exceptions may be made for non-profit applicants who demonstrate that the project can be in place for at least five years and can be relocated afterwards.
- For acquisition or conservation easement projects, applicants must submit a letter from a willing seller, an executed Purchase and Sale agreement, or otherwise demonstrate there is a willing seller; grant assistance is not available for land that will be acquired through eminent domain or any other method whereby the landowner is not a willing participant in all aspects of the sale.
- For acquisition or conservation easement projects, applicants must submit a Title Report, a recent qualified independent appraisal, as well as appropriate maps (location, parcel, site, etc).
- For acquisition and development projects, a conservation easement or other use restriction may be required to ensure open space use continues.

PROJECT SUSTAINABILITY

- Maintenance is critical for projects that include plantings or other landscaping. Grant funding may cover plant maintenance during a one-year establishment period. In order to be eligible for reimbursement, maintenance must be included in the Project Work Plan, Budget, and Project Timeline.
- Ongoing maintenance after a one-year plant establishment period is NOT included.
- For acquisition and development projects, applicants must submit a Maintenance Plan describing long-term site maintenance for a period of at least 10 years.

COMMUNITY ENGAGEMENT / STAKEHOLDER SUPPORT

- The project should have demonstrated public support and/or have been developed with widespread community participation and engagement.
- Development projects should include letters of support from local jurisdiction(s), stakeholders, and/or or interested parties.
- If there are project partners, please include letters from the partners describing their role.

PLANNING AND ENVIRONMENTAL REVIEW

- The project must be based on sound planning. Appendix H: Planning Documents includes a number of planning documents with open space elements that may be useful. Applicants are encouraged to review these to see how their project may relate to relevant local plans.
- The project must comply with the California Environmental Quality Act (CEQA), and all other local, state, and federal environmental and permitting requirements. Any necessary approvals or permits must be obtained in a timely manner.

- Projects requiring a substantial level of environmental review may be scored lower relative to other projects in terms of project readiness.
- For development projects, plans and design documents should be included.

PROJECT RECOGNITION

- Authority/Measure Q funding of projects shall be recognized through appropriate site signage (if applicable) as well as on all publications, press releases, web sites, and other electronic media associated with the project.
- Authority shall be invited to any ribbon cutting ceremony.

AUTHORIZATION

- Applicants must have the support of their governing body as evidenced by a formal resolution or formal statement. Resolutions or statements must include:
 - Authorization for submission of the application to the grant program.
 - Acknowledgement that the applicant has or will have available the required match and sufficient funds to complete the project.
 - Delegation of authority to conduct all negotiations and submit and sign all documents necessary to complete project.
- If the governing body's meeting schedule prevents the applicant from obtaining a signed resolution before the filing deadline, submit a draft resolution with the application package and indicate the meeting date when the resolution will be adopted.
- See Appendix E: Sample Resolution for more information.

FINANCIAL STATEMENTS

- Small grants (\$2,500 - \$25,000): Non-profits should provide the most recent IRS Form 990 or internally-prepared financial statements; Schools, school districts and public agencies should provide the most recent annual budget.
- Large grants (\$25,001 - \$250,000): Non-profits should provide the IRS Form 990 or internally-prepared financial statements (preferably audited) for the previous two years; Schools, school districts and public agencies should provide the annual budget for the previous two years.

PROJECT MONITORING AND REPORTING

- During the grant period, projects are required to submit quarterly performance reports using a template provided at the time of the award.
- A Final Report is due by the end of the grant period. A report template will be provided at the time of the award.
- Acquisition projects must submit a conformed copy of the recorded deed within 45 days after closing.

FUND DISBURSEMENT

- The Authority generally reimburses grantees for expenses after they are incurred. However, exceptions may be made for acquisition projects or for organizations with demonstrated financial hardship.
- Invoices can be submitted monthly and reimbursement typically occurs within one month. Disbursements of grant funds are made incrementally, as work is satisfactorily completed. Ten percent of each invoice will be held back from each payment to ensure satisfactory completion of the project. This holdback money will be paid after the final report is submitted and the project is properly closed out. Acquisition projects are not subject to the ten percent retention.
- All reimbursement requests must be supported by appropriate invoices, purchase orders, canceled warrants/checks, payroll documents and other approved documents. Only actual and direct project-related costs incurred during the approved term of the grant agreement and other costs specified in the grant agreement budget (i.e., indirect costs/administrative overhead) are eligible for reimbursement.
- For acquisition projects, funds shall only be deposited in an escrow account specially set up for the approved acquisition.
- Expenses incurred before the grant agreement is executed cannot be billed to the grant.
- Please note: The Authority's approval is required for any major amendments to the project, such as changes in the scope of work, budget, major personnel, and the grant period.

ACCOUNTING REQUIREMENTS

- Grantees should be familiar with and maintain financial operations, records, systems, and procedures, and maintain sufficient documentation to support their expenses.

RECORDS RETENTION

- Applicants must retain all records pertaining to the project for audit purposes for a period of three (3) years after completion of the project or until all project-related claims have been fully and finally settled, whichever occurs last. Applicants shall make all project records and data available to the Authority within five (5) business days of the Authority's written request.

Evaluation Criteria

Authority staff will evaluate the applications based on the how well it meets the Evaluation Criteria. Additional factors in award recommendations may include: the total funding available, the geographic distribution of awards, and the variety of project types awarded.

As stated above, the purpose of this Grant Program is to create healthy and safe open space and park areas in cities and unincorporated urban areas within the Authority's boundaries by:

- Preserving open space through acquisition of fee title or conservation easements
- Establishing or improving parks, trails, or other recreational facilities that connect people to nature
- Enhancing and restoring habitat, natural resources and water resources
- Enhancing access to healthy food through urban farms and gardens
- Developing environmental education programs or facilities

EVALUATION CRITERIA

	Maximum Points
PROJECT FOCUS <ul style="list-style-type: none"> • Multi-benefit (i.e. provides benefits in more than area: wildlife, habitat, water quality, education, social justice, etc.) • Promotes OSA's <u>mission and vision</u> • Supports the goals and objectives of the <u>Santa Clara Valley Greenprint</u> • Achieves multiple objectives of the <u>Measure Q Expenditure Plan</u> 	10 pts
COMMUNITY ENGAGEMENT / STAKEHOLDER SUPPORT <ul style="list-style-type: none"> • Has strong public support and/or was developed with widespread community participation and engagement • Provided letters of support and/or commitment from local governments (employees/officials), Board members, Board of Supervisors, stakeholders, etc. 	10 pts
PROJECT PLANNING <ul style="list-style-type: none"> • Project includes a well-defined work plan with clear deliverables and project milestones • Work plan is aligned with budget • Project readiness 	15 pts

EXHIBIT K

PROJECT BUDGET <ul style="list-style-type: none"> • Budget is cost-effective • Budget includes appropriate matching funds • Budget is aligned with work plan 	15 pts
PROJECT GOALS <ul style="list-style-type: none"> • Measurable goals and measures of success are well defined (eg. # visitors, etc.) • Measurable goals are realistic and appropriate to project • Project provides clear environmental benefits • Project includes native plants wherever possible • Project features sustainable materials and practices 	15 pts
IMPACT <ul style="list-style-type: none"> • The likelihood that the project will have a profound social and/or environmental impact • Project effectively addresses identified need 	15 pts
PROJECT SUSTAINABILITY <ul style="list-style-type: none"> • Applicant has demonstrated resources to ensure ongoing stewardship of land, management of facilities, and continuing programs • Project must include a plan for maintenance and care of the project 10 years beyond the grant period (if applicable) 	10 pts
ORGANIZATIONAL CAPACITY <ul style="list-style-type: none"> • Applicant has demonstrated resources, capacity, expertise, and support to ensure project completion. This can be demonstrated through evidence of successful projects or by providing references of individuals knowledgeable about the organization's work 	10 pts
TOTAL	100 pts

BONUS POINTS (IF APPLICABLE)

Bonus points will be added to total score.

<p>UNDERSERVED COMMUNITIES</p> <ul style="list-style-type: none"> • Project addresses open space needs for sensitive populations such as residents of park-poor neighborhoods, underserved or disadvantaged communities, youth, and seniors, or is located within a Deep Engagement Community (see Appendix G: Deep Engagement Communities Map) • Project provides job training or skills development opportunities for youth, underserved populations, or at-risk populations 	5 pts
<p>COMMUNITY BUILDING</p> <ul style="list-style-type: none"> • Project actively encourages community building by engaging or accommodating local residents in novel and creative ways 	5 pts
<p>LEADERSHIP & INNOVATION</p> <ul style="list-style-type: none"> • Employs new or innovative approaches resulting in greater efficiency, energy savings, climate resilience or advances in the field of parks, open space, urban agriculture and land conservation • Encourages collaboration and partnership between agencies and organizations or promotes leadership in the field of conservation 	2 pts
<p>FUNDING</p> <ul style="list-style-type: none"> • Leverages funding with <i>more than 25% match</i> by grantees (can be cash or in-kind) 	2 pts

Application Review and Grant Award Process

APPLICATION REVIEW

Authority staff will review, evaluate and recommend projects for funding based on the how well it meets the Evaluation Criteria. Additional factors in award recommendations may include: the total funding available, the geographic distribution of awards, and the variety of project types awarded. Applicants may be contacted to arrange site visits or to provide additional information during the review process. Authority staff may seek assistance from outside agencies and organizations in evaluating proposals.

These recommendations will be summarized along with essential information for each project and presented at a publicly-noticed Citizen's Advisory Committee (CAC) meeting. The CAC will review and provide feedback to staff for inclusion in final recommendations to the Board of Directors.

Staff will present the recommendations and CAC feedback to the Authority's Board of Directors. The Board will make the determination about project funding at a publicly-noticed meeting in consideration of staff recommendations, CAC feedback, and any public comments. The Board of Directors reserves the right not to award all the funds allocated.

GRANT AWARD

Following Board approval of a grant award, staff will prepare a grant agreement with detailed conditions specific to the project, including a Scope of Work and a Project Budget based on the grant application. The grant agreement must be signed by the grantee before funds will be disbursed.

Grantees will be required to reimburse the Authority for some or all of the disbursed grant funds if the project is not completed according to the provisions of the agreement.

Application Checklist

The Grant Application is available online at <http://www.openspaceauthority.org/urban>. The application includes four tabs:

Applicant Information – questions about the organization

Project Description – detailed questions about the project

Project Goals – the measures of the project’s goals, benefits, and measures of success

Documents – form to upload supporting documents

Required documents for all applicants:

- ☐ Budget, using the template provided in the online application
- ☐ Financial statements (see p. 11)
- ☐ Authorizing Resolution from Governing Body (see p.11 and Appendix E: Sample Resolution)

Additional supporting documentation that may be required:

- ☐ Letters of Support (see p. 10)
- ☐ Project Partner Letters (see p. 10)
- ☐ Fiscal Sponsorship Agreement (see p.5)

Acquisition projects:

- ☐ Title Report (see p. 10)
- ☐ Willing Seller Letter or other documentation (see p. 10)
- ☐ Recent qualified independent appraisal (see p. 10)
- ☐ Maps: Location, Parcel, Site, etc. (see p. 10)
- ☐ Photographs, if applicable

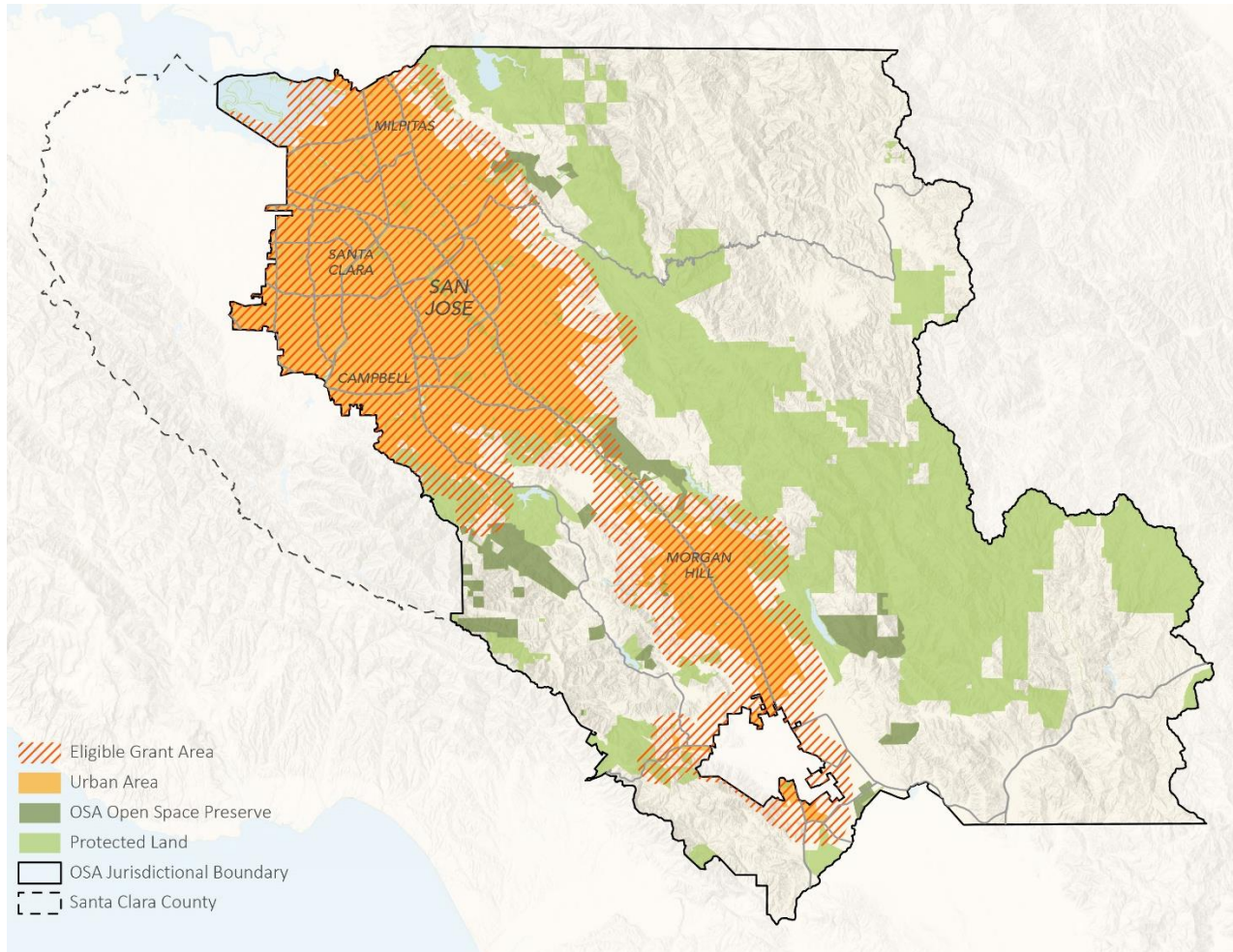
Development Projects

- ☐ Project Plans (see p. 10)
- ☐ Permits/Approvals (see p.10)
- ☐ Environmental Compliance (see p.10)
- ☐ Photographs, if applicable

Appendix A: Map of Eligible Grant Area

Below is a map showing the eligible grant areas. There is also an interactive version of this map available at <http://www.openspaceauthority.org/urban>.

If you have questions about whether a project is in an eligible grant area, please contact Megan Dreger, Grants Administrator at mdreger@openspaceauthority.org.



Appendix B: Glossary

California Environmental Quality Act (CEQA) is a statute that requires state and local agencies to identify the significant environmental impacts of their actions and to avoid or mitigate those impacts, if feasible. Most proposals for physical development in California are subject to the provisions of CEQA. For more information, see <http://resources.ca.gov/ceqa/more/faq.html>.

Conservation easements are legal agreements between a landowner and a land trust or government agency that permanently limit the use of the land in order to protect its conservation values.

Direct costs are the labor, material, and other expenses directly related to a project or service.

Grant period is the period of time between the effective date and the expiration date of a grant.

Indirect costs are expenses that are not directly related to a particular project or service, such as depreciation or administrative costs. These are often referred to as general operating expenses.

Matching funds Cash or in-kind support contributed by the applicant to augment grant funds in order to attain project objectives. In-kind support, also called “soft” match, is goods, services or other things of value that will benefit the project (e.g. staff time).

Urban areas are defined by the US Census Bureau as densely settled areas that meet minimum population density requirements. For more information, see http://www.census.gov/geo/reference/gtc/gtc_urbanrural.html#ua.

Appendix C: Direct and Indirect Cost Examples

The *Measure Q Expenditure Plan* limits administrative expenses, or INDIRECT COSTS, to no more than 5% [See Appendix F]. The following table is provided differentiate DIRECT COSTS, which are generally eligible for grant funding, and INDIRECT COSTS, which are eligible only in limited circumstances.

DIRECT COSTS	INDIRECT COSTS
<p>Direct costs are eligible for grant funding provided they are <u>directly attributable</u> to the project. This applies to all project types: programs, development, acquisition, and planning. These costs should be included in the project budget.</p> <p>The following costs are generally eligible:</p> <ul style="list-style-type: none"> ➔ Hourly rate for employees <ul style="list-style-type: none"> • CAN include salary plus fringe benefits, workers' compensation, payroll tax, etc. • CANNOT include indirect costs • Includes employees working directly on the project, including Project Management, Grant Management, and <u>directly attributable</u> administrative support, legal or accounting functions. ➔ Travel for employees ➔ Consultants or contractors ➔ Grant service fees for fiscal sponsorship ➔ Supplies and materials <p>The following may be included as direct costs only if they are <u>directly attributable</u> to the project AND are <u>newly acquired</u> specifically for the project:</p> <ul style="list-style-type: none"> ➔ Equipment purchases [Note that all existing equipment would be indirect costs] ➔ Newly-acquired facilities [Note all existing facilities would be indirect costs] ➔ Newly acquired Information Technology equipment and support for the project 	<p>Indirect costs are eligible for grant funding ONLY FOR 501(c)(3) NONPROFITS and are limited to 5% of grant funding.</p> <ul style="list-style-type: none"> ➔ Existing facilities costs (e.g. rent, maintenance, etc) ➔ Utilities ➔ Existing Information Technology equipment and support ➔ Existing equipment ➔ Existing equipment maintenance ➔ Depreciation on equipment ➔ Insurance ➔ Communications expenses (e.g. phones, etc.) ➔ Administrative office supplies ➔ General administrative support: <ul style="list-style-type: none"> • Executive management (CEO, etc) unless DIRECTLY attributable to the project • Executive administrators • General financial management staff • General ledger accounting • Institutional legal support • Information Technology support staff • Facilities support personnel • Scientific support functions • Environmental health/safety personnel • Human resources • Shared procurement resources • General logistics support • Other shared resources not directly attributable to the project

Appendix D: Sample Goals

This list includes examples to describe and evaluate the goals of a project. For example, if a project includes trail construction, one goal might be to build 2 miles of trail. This is not an exhaustive list; some projects may need to use alternate measures.

ENVIRONMENTAL STEWARDSHIP AND RESTORATION EXAMPLES

Project Element	Number of	Result
People	People	Attending public meeting
People	People	Engaged
Land acquisition	Acres	Protected
Habitat restoration	Acres	Restored
Planning project	Percent	Design completed
Planning project	Stakeholders	Engaged
Cleanup	Pounds	Trash removed
Cleanup	Square feet or acres	Cleaned
Site development	Percentage	Pervious surface
Site development	Square feet	Pavement replaced by pervious material
Planting	Square feet	CA native plants planted
Planting	Square feet	Invasive plants removed
Planting	Trees	Planted
Water	Gallons	Stormwater treated/retained/reused on site
Volunteers	Volunteers	Participating

PARKS, TRAILS, AND PUBLIC ACCESS EXAMPLES

Project Element	Number of	Result
People	People	Attending public meeting
People	People	Engaged
Site Development	Miles	Trails constructed
Site Development	Square feet	Pavement replaced by pervious material
Site Development	Pounds	Construction material repurposed/reused
Site Development	Pounds	Construction material made from recycled material
People	People	Using trail
People	People	Using park
People	People	Living within ½ mile

EXHIBIT K

Water	Gallons	Stormwater treated/retained/reused on site
Water	Gallons	Rainwater stored/used on site
Water	Gallons	Water conserved
Water	Gallons	Recycled water used
Planting	Square feet	CA native plants planted
Planting	Square feet	Invasive plants removed
Planting	Square feet	Drought tolerant plants planted
Planting	Trees	Planted
Volunteers	Volunteers	Participating

ENVIRONMENTAL EDUCATION EXAMPLES

Project Element	Number of	Result
People	People	Attending public meeting
People	People	Engaged
Education facilities	People	Using facility
Education facilities	Youth (age 0-18)	Using facility
Education facilities	Programs	Scheduled
Educational programs	People	Participating
Volunteers	Volunteers	Participating

URBAN AGRICULTURE / FOOD SYSTEMS EXAMPLES

Project Element	Number of	Result
People	People	Attending public meeting
People	People	Engaged
People	Families	Served
Urban Farms/ Community Gardens	Pounds	Food produced
Urban Farms/ Community Gardens	Pounds	Produce distributed to local community
Urban Farms/ Community Gardens	New plots	Available for community
Water	Gallons	Rainwater stored/used on site
Water	Gallons	Water conserved
Water	Gallons	Recycled water used

EXHIBIT K

Water	Gallons	Stormwater treated/retained/reused on site
Planting	Square feet	CA native plants planted (eg around perimeter)
Planting	Square feet	Drought tolerant plants planted (eg around perimeter)
Planting	Trees	Planted
Volunteers	Volunteers	Participating

Appendix E: Sample Resolution

RESOLUTION NO. _____

Resolution of the [INSERT NAME OF GOVERNING BODY] of the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER], authorizing the submittal of an application for funding for a \$ [INSERT GRANT AMOUNT] grant to the Santa Clara Valley Open Space Authority's Measure Q Urban Open Space Grant Program for [PROJECT NAME], located at [PROJECT LOCATION];

Whereas, in accordance with the voter-approved Measure Q Expenditure Plan, the Santa Clara Valley Open Space Authority (Authority) has established a competitive Urban Open Space Grant Program (the Program) for projects that provide healthy and safe open space and park areas within and near urban areas of Santa Clara County; and

Whereas, the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] has identified [PROJECT NAME] (Project) at [PROJECT LOCATION] as a priority project; and

Whereas, the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] believes that the Project meets the criteria for the Authority's Measure Q Urban Open Space Grant Program; and

Whereas, the total project cost for the implementation of the Project is [INSERT TOTAL PROJECT COST]; and

Whereas, the Authority's Program requires applicants to provide at least 25% match of project cost; and

Whereas, the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] has secured (or will secure if not) [INSERT MATCHING FUNDS AMOUNT] from [DESCRIBE AMOUNT AND SOURCE OF MATCHING FUNDS]; and

Whereas, if implemented, the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] will operate and maintain the Project; and

Now, Therefore, Be It Resolved that this [INSERT NAME OF GOVERNING BODY] of [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] hereby finds, determines, declares, and orders as follows:

- 1. Truth of Recitals.** That the forgoing recitations are true and correct.
- 2. Authorization.** The [INSERT TITLE] of the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] is hereby authorized to submit an application for funding to the Authority's Program in the amount of \$[INSERT GRANT AMOUNT] for [PROJECT NAME].
- 3. Measure Q Consistency.** That the Project is consistent with the Measure Q Expenditure Plan.

4. Grant Agreement. [INSERT TITLE] of the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] is hereby authorized to conduct all negotiations and submit and sign all documents, including but not limited to, application, conservation easement, amendments, reimbursement requests and so on, which may be necessary for completion of the project.

5. Matching Funds. If awarded funding, the [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] has or will have available [INSERT MATCHING FUND AMOUNT] for matching funds as cash or in-kind and sufficient funds to complete the project.

6. Operation and Maintenance. The [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] has or will have available sufficient funds to operate and maintain the project, if awarded funding.

7. Program Guidelines. The [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] has reviewed, understands, and agrees to the provisions contained in the Program Guidelines.

8. Legal Compliance. The [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] acknowledges that if the Project is funded, it will be required to comply with all federal, state and local laws.

Passed and adopted at a meeting of the [INSERT NAME OF GOVERNING BODY] of [INSERT NAME OF AGENCY, ORGANIZATION, OR OTHER] on [INSERT DATE].

Authorized Original Signature: _____

Printed Name: _____

Title: _____

Clerk/Secretary: _____

Appendix F: Measure Q Expenditure Plan

‘The Open Space, Wildlife Habitat, Clean Water and Increased Public Access Expenditure Plan

A. Summary

Since its creation in 1993, the Santa Clara County Open Space Authority (“OSA”) has protected over 16,000 of acres of open space and natural areas, preserving the natural beauty and health of our area, and protecting our region’s water resources, air quality, wildlife and scenic views for residents today and future generations. Governed by a publicly elected board of directors, OSA has used funds wisely, bringing in state, federal, and private grant money, and providing annual audits in a transparent public process.

However, the Santa Clara County Open Space Authority currently faces significant challenges. As our region continues to grow, protecting hillsides and open spaces are increasingly important to our community. If adopted by voters, the Open Space, Wildlife Habitat, Clean Water, and Increased Public Access Measure (“Measure”) would allow OSA to protect and preserve natural open space areas for future generations by: improving parks, open spaces and trails; protecting land around creeks, rivers and streams to prevent pollution and improve local water quality; preserving wildlife habitat; expanding public access; enhancing environmental education; and protecting scenic hillsides.

The Santa Clara County Open Space Authority will ensure that the revenue generated is spent in the most efficient and effective manner possible, consistent with serving the public interest and in accordance with existing law. The Authority shall use the funding only for the purposes set forth in this Expenditure Plan (“Plan”) and it shall give priority to projects in this Plan.

The high priority potential projects listed in the Plan are the result of a broad public engagement process to create a shared vision for the future of the region’s open space. This vision plan developed by the Santa Clara County Open Space Authority, called the *Santa Clara Valley Greenprint*, identifies high priority open space and natural resource lands as identified in this Plan. If approved, the proceeds from the parcel tax would be allocated to fund high priority projects distributed throughout the Santa Clara County Open Space Authority’s jurisdiction such as those listed below.

The Authority may annually review and propose amendments to the Plan to provide for the use of additional federal, state and local funds, to account for unexpected revenues, or to take into consideration unforeseen circumstances, provided, however, that all funds collected pursuant to the Measure shall be used solely for the purposes of this Plan as presented to the voters.

B. Program Descriptions

1. Protect Open Space, Redwood Forests, Wildlife Habitat, Scenic Hillside and Agricultural Land

The purpose of this program is to permanently protect new open space lands essential to completing connections in the region's park, open space and habitat systems as identified in the Authority's *Santa Clara Valley Greenprint*, the *County Parks Acquisition Plan*, *Santa Clara Valley Habitat Plan*, and other adopted plans.

- a. Ensure permanent protection of essential open space, water resources and wildlife habitat. Areas for open space protection can include but are not limited to Baylands, East San Jose Foothills, Upper Alameda Creek Watershed, Coyote Ridge, Coyote Valley, Upper Coyote Creek Watershed, Southern Santa Cruz Mountains, Sargent Hills, Upper Pajaro River Watershed, Pacheco Creek Watershed. Protect, restore and enhance critical habitat to implement the Valley Habitat Plan such as serpentine grasslands, wetlands, redwood forests and riparian areas along creeks, rivers and streams.
- b. Leverage public and private funding and coordinate protection efforts to complete critical open space preservation
- c. Conserve the region's last remaining farmland and rangelands in and around areas such as the Coyote Valley, Morgan Hill, Gilroy and Pajaro River to protect local food and maintain environmental benefits provided by agricultural lands including water supply, groundwater recharge, flood risk reduction, and habitat protection.
- d. Conserve high priority farmland and rangelands through voluntary agreements with willing landowners.
- e. Provide grants to resource conservation districts ("RCDs") and other agricultural organizations to work with farmers and ranchers to promote natural resource stewardship.

2. Protect Land around Creeks, Rivers and Streams to Prevent Pollution and Improve Local Water Quality and Supply

The purpose of this program is to protect and restore natural areas that buffer our rivers, lakes and streams, including the Guadalupe River, Coyote Creek, Fisher Creek, Penitencia Creek, Upper Alameda Creek, Uvas Creek, Llagas Creek, Pacheco Creek, Pescadero Creek and the Pajaro River to protect water supply and water quality.

- a. Protect clean, safe drinking water supplies by restoring and enhancing natural areas around local creeks, rivers and streams from their headwaters in the hills to the Bay.
- b. Preserve key open space areas to enhance local water supply and recharge groundwater.
- c. Protect water resources, floodplains, wetlands and riparian corridors.

3. Open, Improve and Maintain Parks, Open Space, and Trails

The purpose of this program is to maintain existing Open Space Preserves, safeguard the health of natural areas and wildlife habitat; reduce the risk of wildfires; provide more walking, hiking, biking and equestrian trails and increase opportunities for environmental education experiences.

- a. Open and expand Preserves on existing lands, including more trails for walking, hiking, biking and equestrian uses and more access points for residents; develop science-based environmental education programs and facilities, trails, and other public access facilities in appropriate locations including East San Jose/Penitencia Creek/Milpitas Foothills, Coyote Ridge, Coyote Valley, Morgan Hill El Toro Peak, Almaden Valley/Santa Teresa Hills.
- b. Construct new trails and close gaps in regional hiking, bicycle and equestrian trails (such as the Bay Area Ridge Trail, San Francisco Bay Trail, Coyote Creek Trail and Guadalupe River).
- c. Reduce the risk of wildfire near communities; protect air and water quality and control invasive, non-native weeds through vegetation management in coordination with fire districts, cities and the County.
- d. Provide safe, well-maintained Open Space Preserves and recreational trails, including the Bay Area Ridge Trail, San Francisco Bay Trail, Guadalupe River Trail and Coyote Creek Trail.
- e. Work with qualified organizations and entities, including, but not limited to, the California Conservation Corps, the San Jose Conservation Corps, AmeriCorps and others, to maintain facilities in Open Space Preserves including, but not limited to, cultural and historic structures, bridges, roads, and other infrastructure.
- f. Prevent pollution and vandalism of open space, water resources and wildlife habitat.

4. Urban Open Space, Parks and Environmental Education Program

The purpose of this Grant Program is to assist municipalities, schools and applicable non-profit organizations within the cities of Milpitas, Santa Clara, San Jose, Campbell, Morgan Hill and urban County areas to create healthy and safe open space and park areas for their communities by: creating or improving parks; preserving open space and maintaining or creating trails; enhancing and restoring habitat, natural resources and water resources; and enhancing access to healthy food through urban farms and gardens.

No more than 25 percent of the revenues generated by this funding measure will be made available for this Grant Program. The Grant Program would provide grants to cities, the County, schools and non-profit organizations on a competitive basis for the types of projects listed below within the boundaries of the OSA. Funds shall be available through an annual grant allocation process designed by the Santa Clara County Open Space Authority, in coordination with cities, the County, schools and non-profit organizations within the boundaries of the OSA. Grant allocations shall reward a diversity of projects and be balanced geographically across the Santa Clara County Open Space Authority's jurisdiction. The grant allocation process and the individual projects shall be subject to approval by the Authority's Governing Board of Directors ("Board").

Urban Open Space Project Criteria:

- a. Protect and enhance open space, wildlife habitat and wildlife corridors, and develop land for nature-based parks, open space preserves, trails and greenbelts.
- b. Create, develop and implement pedestrian and bicycle trail connections to connect urban communities to local and regional parks, Open Space Preserves, creeks and flood control channels, to improve public health (i.e. San Francisco Bay Trail, Coyote Creek, Guadalupe River, Silver Creek, Thompson Creek, Penitencia Creek, Three Creeks Trail, Five Wounds Trail).
- c. Implement urban tree planting and tree protection/maintenance.
- d. Create and expand urban farms and community gardens to support public health and local agriculture.
- e. Expand nature and science-based environmental education programs and hands-on youth engagement projects.

EXAMPLES OF HIGH PRIORITY POTENTIAL PROJECTS AND GEOGRAPHIC DISTRIBUTION

1. San Francisco Baylands Restoration: Increase wildlife habitat and reduce pollution by restoring salt ponds to tidal marsh, provide enhanced flood control, and develop new public access to the Bay
2. Alviso Adobe and Higuera Adobe Parks: Establish Alviso Adobe as a historical museum, and refurbish the historic Caretaker's Cottage in Higuera Adobe Park in collaboration with the City of Milpitas
3. San Francisco Bay Trail: Increase public access by closing gaps in Bay Trail walking and biking trail connections
4. San Francisco Bay Area Water Trail: Provide Water Trail public access amenities at Alviso Marina
5. Ulistac Natural Area: Increase educational programming, and restore natural habitat
6. Sierra Vista: Establish staging area and trails, convert historic residence to visitor hostel, restore pond and wildlife habitats for endangered species
7. Penitencia Creek Trail: Complete trail linking Penitencia Creek community to Alum Rock Park and Sierra Vista Open Space Preserve
8. Guadalupe River Nature Center and Environmental Programming: Partner with nonprofits to establish outdoor environmental education learning center and interpretive programming for youth and families
9. Five Wounds Trail: Develop additional trails connecting to future public transit station
10. Coyote Creek Trail: Expand walking and biking trail connections and restore natural habitats
11. Silver Creek Trail: Increase walking and biking opportunities by creating connections to regional trails, parks and transit
12. Three Creeks Trail: Complete eastern trail alignment
13. San Tomas Aquino/Saratoga Creek Trail: Construct walking and biking trails through cities of Campbell and Santa Clara
14. Thompson Creek Trail Improvements: Complete walking and biking trail improvements
15. Guadalupe River Trail: Expand walking and biking trail connections

16. Martial Cottle Park: Partner with County Parks to develop trail link to Blossom Hill Light Rail Station and implement recreation and wetland restoration projects
17. Santa Teresa Hills: Partner with City of San Jose, County and Santa Teresa Foothills Neighborhood Association to protect land and develop regional ridgeline trail connections to create more access to local trails
18. Coyote Ridge: Establish new open space preserve with parking and regional trails, and protect and restore habitat for endangered species
19. Calero County Park: Partner with County to establish a staging area, public access and trails at Rancho San Vicente and at McKean Road
20. Coyote Valley Open Space Preserve: Build an outdoor learning center and a loop trail accessible to seniors and people with disabilities, and restore and enhance wetland habitat
21. Coyote Valley Agriculture and Natural Resource Reserve: Preserve agricultural lands, enhance natural resources, establish public access, and protect wildlife corridor
22. Youth Agricultural Education and Demonstration Farm: Partner with Cities of San Jose, Morgan Hill, Sobrato High School, nonprofits and agricultural organizations to establish an agricultural education and demonstration farm in the Coyote Valley Greenbelt
23. Rancho Cañada del Oro Open Space Preserve: Restore wildlife habitat, build an interactive education center, and construct new staging area and regional trails for increased public access
24. El Toro Peak: Partner with City of Morgan Hill to establish an open space preserve with staging area and trails, and restore natural habitat
25. Southern Santa Cruz Mountains: Establish regional trail connections between Uvas Reservoir, Uvas County Park and Rancho Cañada del Oro Open Space Preserve, develop staging area, and protect wildlife corridor
26. Uvas Creek: Protect wildlife habitat and corridor, protect natural lands for water supply and water quality, and increase trails to close gaps in regional trails
27. Palassou Ridge: Establish open space preserve, restore historic stone house for visitor center, develop multi-use trails connecting to Henry Coe State Park; implement wildfire prevention and habitat restoration projects
28. Diablo Foothills: Establish open space preserve, restore wetlands and bird habitat, implement public access improvements
29. Sargent Hills: Protect wildlife corridor, create staging area, public access and regional trail connections to the Bay Area Ridge Trail
30. South County Agriculture: Conserve working farms and ranches near Morgan Hill, Gilroy and Pajaro River for greenbelts and agricultural viability and to enhance flood control, water quality, wildlife habitat and connectivity

C. Administrative Provisions and Fiscal Safeguards

1. Citizen Oversight and Independent Financial Audits

In order to ensure accountability, transparency and public oversight of all funds collected and allocated under this Measure and to comply with state law, all of the following shall apply:

- a. On or before January 1 of each fiscal year, the Authority's chief fiscal officer shall file an annual report with the Board that explains (1) the amount of funds collected and expended under this Measure, and (2) the status of the projects authorized to be funded by this revenue in compliance with Government Code Sections 50075.1(d), 50075.3.
- b. No later than June 30, 2015, or the first collection of revenue pursuant to the Measure, whichever is later, an Independent Oversight Committee shall be convened to review the expenditures of all parcel tax revenues collected under this Measure. The Board shall establish by resolution the terms, composition, and specific duties of the Oversight Committee, consistent with the "Citizen Oversight and Independent Financial Audits" provisions set forth in this Part C(1). The Oversight Committee will consist of seven at-large members. In making appointments to the Oversight Committee, the Board will seek to reflect the demographic diversity of its jurisdiction.
- c. Members of the Oversight Committee shall be appointed by the Board and shall be residents within the Santa Clara County Open Space Authority's jurisdiction who are neither elected officials of any government, nor employees from any agency or organization that directly benefits financially from the proceeds of the parcel tax.
- d. Oversight Committee meetings will be announced in advance and will be open to the public. The Oversight Committee shall meet at least once but no more than four times per year.
- e. The responsibilities of this Committee include:
 - Reviewing Plan expenditures on an annual basis to ensure they conform to the Plan.
 - Reviewing the annual audit and report prepared by an independent auditor and submitting its recommendations and oversight report thereon.
- f. All actions, including decisions about selecting projects for funding, will be made by the Board in public meetings in compliance with the Brown Act
- g. Annual levies of the parcel tax would start in 2015.
- h. To the extent allowable by law, all residential and non-residential parcels will be uniformly taxed.
- i. The revenue raised by the Measure for the purposes described in this Plan will be administered by the Santa Clara County Open Space Authority. All funds shall be placed in a separate account to hold all funds collected pursuant to the Measure.

2. Additional Allocation Criteria and Community Benefits

The Santa Clara Open Space Authority shall ensure that the revenue generated by the Measure is spent in the most efficient and effective manner possible, consistent with serving the public interest and in accordance with existing law and this Plan.

- a. The Santa Clara Open Space Authority shall make all final decisions regarding implementation of the Plan and funding for any specific high priority projects. The Board shall give priority to projects within its jurisdiction that meet multiple objectives of the *Santa Clara Valley Greenprint*, including one or more of the following objectives:

- i. Have a significant positive effect on the health of land, water, and wildlife habitat, safety and quality of life for OSA residents.
 - ii. Provide for geographic distribution of projects across OSA's jurisdiction.
 - iii. Increase impact by leveraging state and federal resources as well as public/private partnerships.
 - iv. Strengthen connections between communities and open space through regionally-significant trails and transit access.
 - v. Benefit the economy and sustainability of the region by investing in nature-based infrastructure and local workforce development.
 - vi. Engage youth and young adults in local workforce development and assist them in gaining skills and experience related to protection and stewardship of the natural environment, wildlife, water resources and agricultural lands.
- b. The Board shall conduct one or more public meetings annually to gain public input on selection of project grants on which to expend revenues generated by the Measure.
- c. The Santa Clara County Open Space Authority may accumulate revenue over multiple years so that sufficient funding is available for larger and long-term projects. All interest income shall be used for the purposes identified in this Plan.
- d. No more than 5% of the revenue generated by this measure and provided to the Santa Clara County Open Space Authority may be used for administrative expenses.
- e. Costs of performing or contracting for project related work shall be paid from the revenues of the measure allocated to the appropriate purpose and project.
- f. An annual independent audit shall be conducted to assure that the revenues expended by the Santa Clara County Open Space Authority under this Plan are necessary and reasonable in carrying out its responsibilities under this Plan.
- g. To the extent permitted by law, up to one-tenth of one percent (0.1 %) of the gross proceeds of the parcel tax shall be made available for the Oversight Committee's activities.
- h. Parcel tax proceeds are intended to augment annual support for the Santa Clara County Open Space Authority and do not substitute for individual city or County obligations. Monies from this program may not go to a city's or the County's "General Fund."

Appendix G: Deep Engagement Communities Map

The Santa Clara Valley Open Space Authority is proud to be a part of this unique region and works diligently to provide an equitable balance of services to all those living here. *Understanding Our Community: A Community Assessment Project* is a report created by the Authority in Fall, 2015 that provides a detailed picture of the Authority's jurisdiction —the people and organizations in it, and their barriers to engaging with open space or benefiting from the Authority's services.

As part of this project, we mapped a variety of data sets representing population demographics and environmental burdens.

The data was analyzed to identify those areas with the greatest barriers to access of open space (due to, for example, language barriers and lack of personal vehicles) and the greatest environmental burdens (such as air pollution levels). The six areas identified by this analysis have been named "Deep Engagement Communities" (DECs). These communities are outlined in the map below. These DECs have the following characteristics:

1. **Population**- we focused on residential areas with significant population, particularly higher density neighborhoods, to ensure the investment would impact a large constituency.
2. **Income and CalEnviroScreen**- by considering low income and high CalEnviroScreen scores, we hoped to capture areas that lacked resources and were disproportionately impacted by environmental burdens.
3. **Linguistic Isolation, Age, Vehicle Access** - within each of these DECs, we found significant barriers that make communities more vulnerable. Additionally, these communities are lacking opportunities to access open space or outdoor programming. For example, linguistic isolation in many of these communities is high, making information about opportunities, events, and advocacy difficult; many of these communities have a disproportionately high population of very young or elderly, making access more difficult and yet very important; and some of these communities have higher numbers of households without access to a vehicle, making outings to open space outside of the neighborhood more difficult.
4. **Local Partners (Opportunity)** - based on conversations with community leaders and additional research, we believe each of these DECs presents an opportunity to leverage the Authority's work through strategic partnerships. There are organizations and community leaders within each DEC that could serve as important allies for this work.

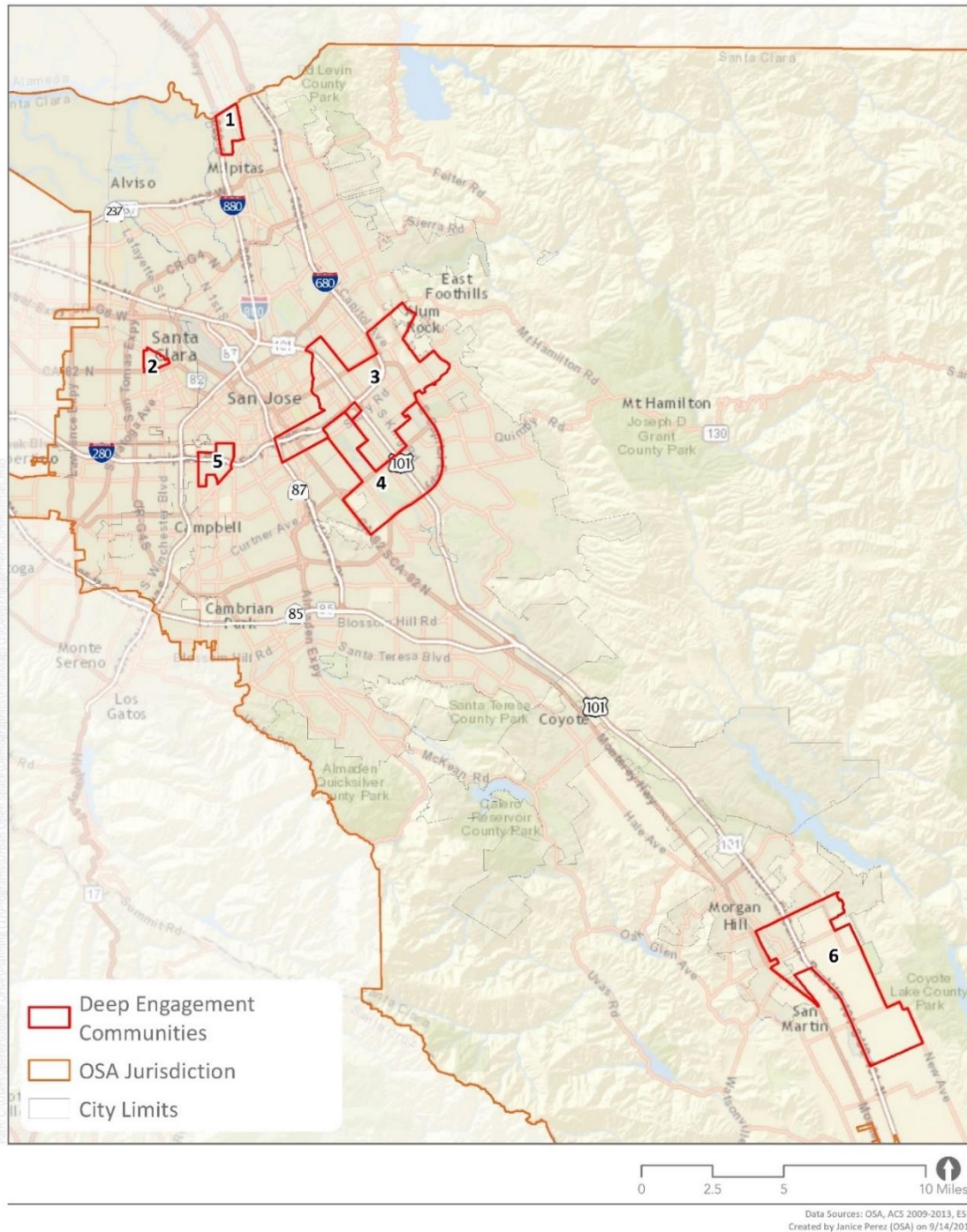
This map is an excerpt from the *Understanding Our Community* report. The Six Deep Engagement Communities include approximately 205,000 residents, or 15% of the total population in the Authority's jurisdiction.

The entire *Understanding Our Community* report is available here:

<http://www.openspaceauthority.org/urban/pdf/OSA%20Understanding%20our%20Community%20Report.pdf>

Deep Engagement Communities

There is also an interactive version of this map available at <http://www.openspaceauthority.org/urban>.



Appendix H: Planning Documents

This is a partial list of local planning documents that may be useful in preparing project documentation.

Association of Bay Area Governments (ABAG). 2013. Plan Bay Area: Regional Transportation Plan and Sustainable Communities Strategy for the San Francisco Bay Area 2013-2040. Oakland, CA.

City of Campbell. 2015. The City of Campbell General Plan. Campbell, CA.

City of Milpitas. 2002. Milpitas General Plan. Milpitas, CA.

City of Morgan Hill. 2010. Morgan Hill General Plan. Morgan Hill, CA.

City of San Jose. 2009. Greenprint 2009 Update for Parks, Recreation Facilities and Trails: A Strategic Plan to 2020. San Jose, CA.

City of San Jose. 2011. Envision San José 2040: General Plan. San Jose, CA.

City of Santa Clara. 2010. Celebrating Our Past, Present and Future: City of Santa Clara 2010 – 2035 General plan. Santa Clara, CA.

County of Santa Clara. 1994. Santa Clara County General Plan: Charting a Course for Santa Clara County's Future: 1995-2010. San Jose, CA.

County of Santa Clara. 1995. Santa Clara County Countywide Trails Master Plan Update. Santa Clara County Trails Plan Advisory Committee. San Jose, CA.

County of Santa Clara, City of San Jose, City of Morgan Hill, City of Gilroy, Santa Clara Valley Water District, Santa Clara Valley Transportation Authority. 2012. Final Santa Clara Valley Habitat Plan (HCP/NCCP). San Jose, CA.

Santa Clara Valley Open Space Authority. 2014. The Santa Clara Valley Greenprint: A guide for protecting open space and livable communities. San Jose, CA.